

J.A. Woollam

# ABSORBING FILMS USING B-SPLINE

## SESSION 3

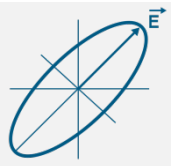
Andrew Martin

Yale University  
March 2025



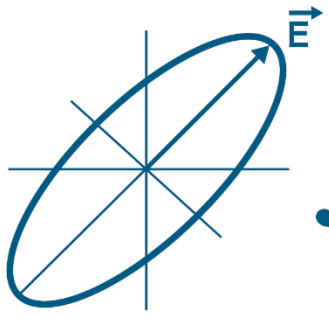
# COURSE OUTLINE

- Session 1: Introduction to CompleteEASE
- Session 2: Transparent Materials
- Session 3: Absorbing Films using B-Spline
- Session 4: Semi-Absorbing Films using Gen-Osc
- Session 5: Thin Absorbing Films & Multi-Sample Analysis
- Session 6: Advanced Topics and Review



# SESSION 3 OUTLINE

- Review of Transparent Materials
- B-Spline Math
- B-Spline Layer
- B-Spline for Absorbing Materials
  - Metal Films
  - UV Absorbing Films
- Kramers-Kronig (KK) B-Spline

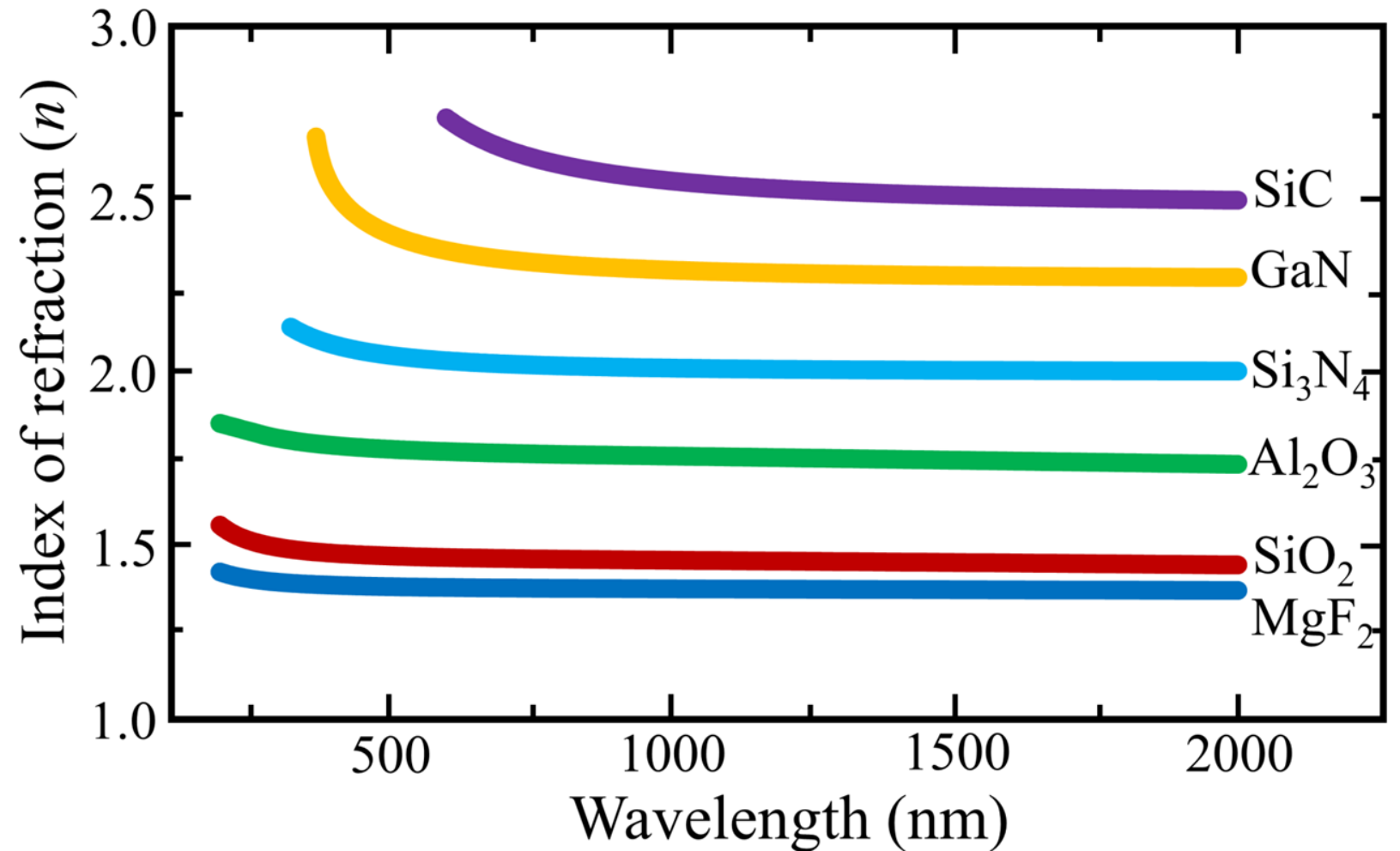
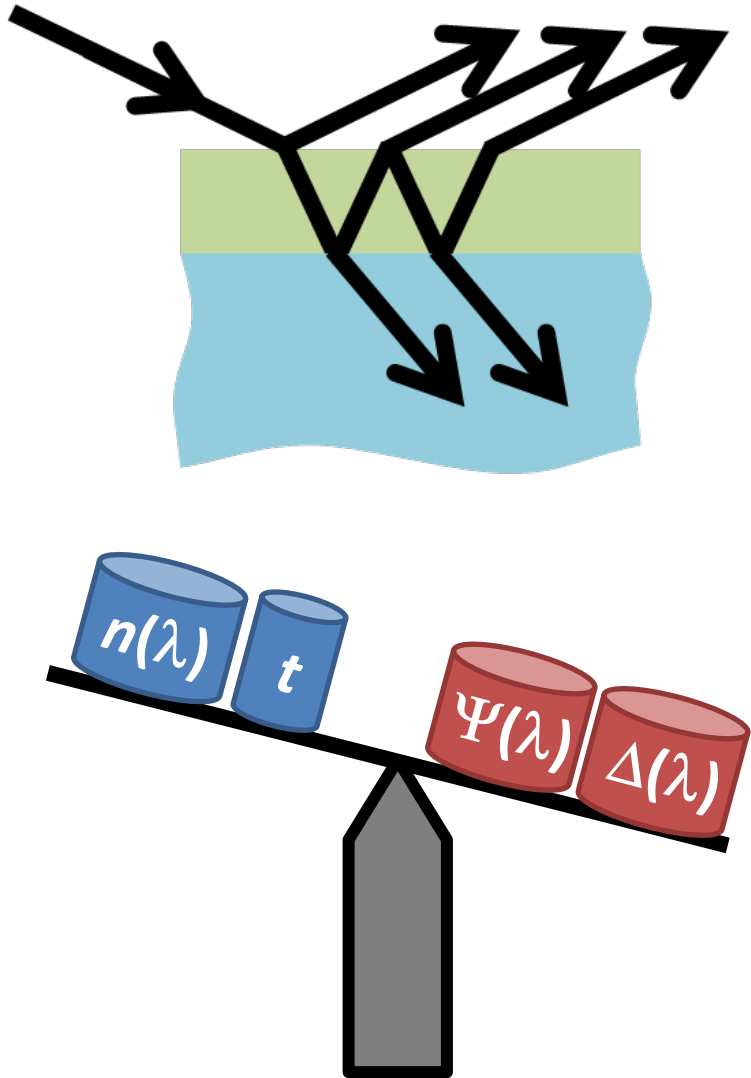


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# QUICK REVIEW



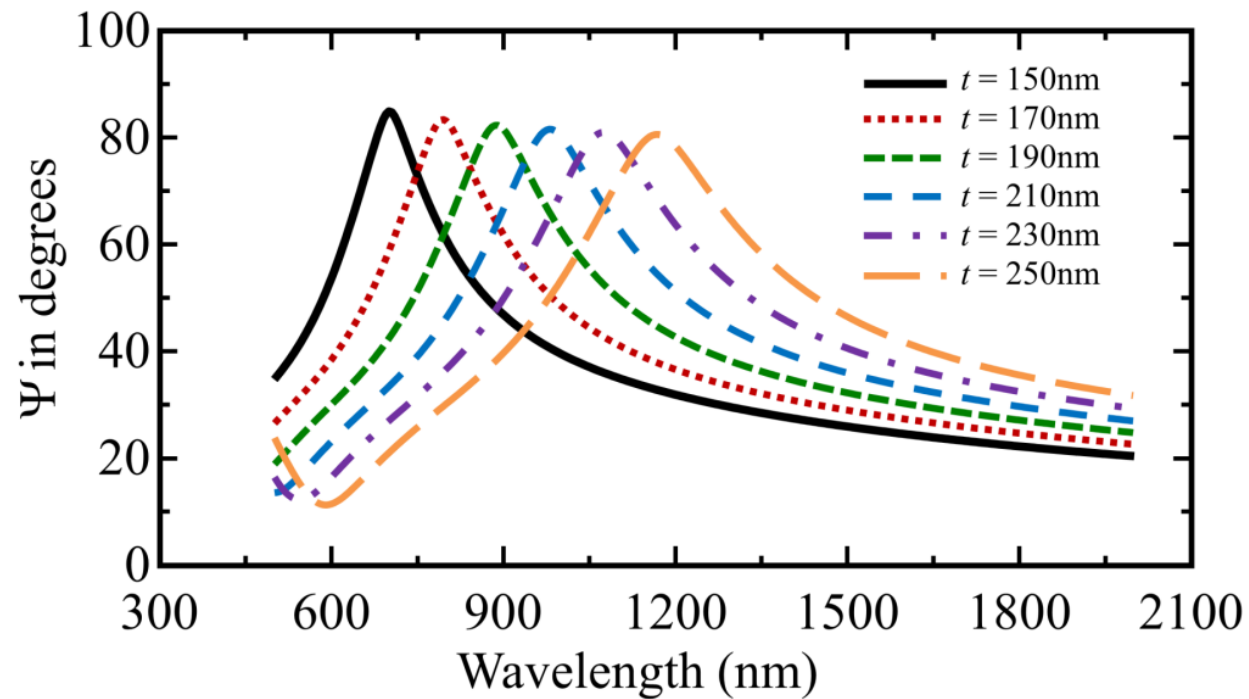
# TRANSPARENT MATERIALS



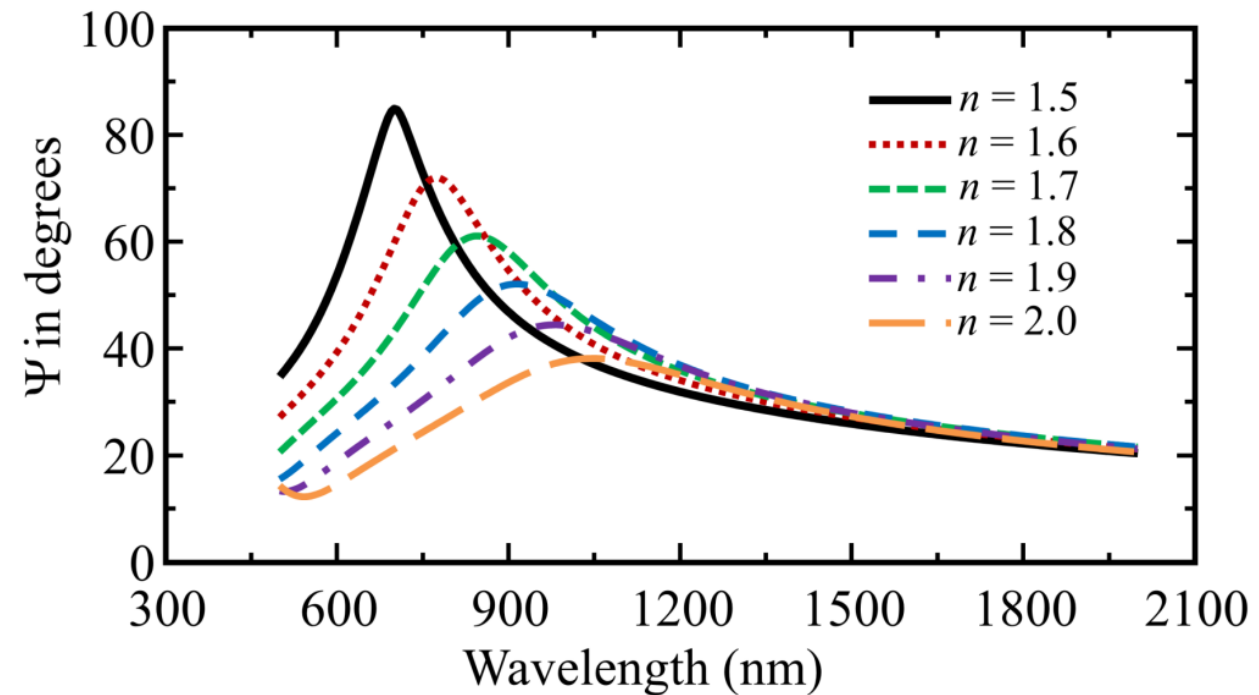


# TRANSPARENT THIN FILMS

## Thickness shifts interference

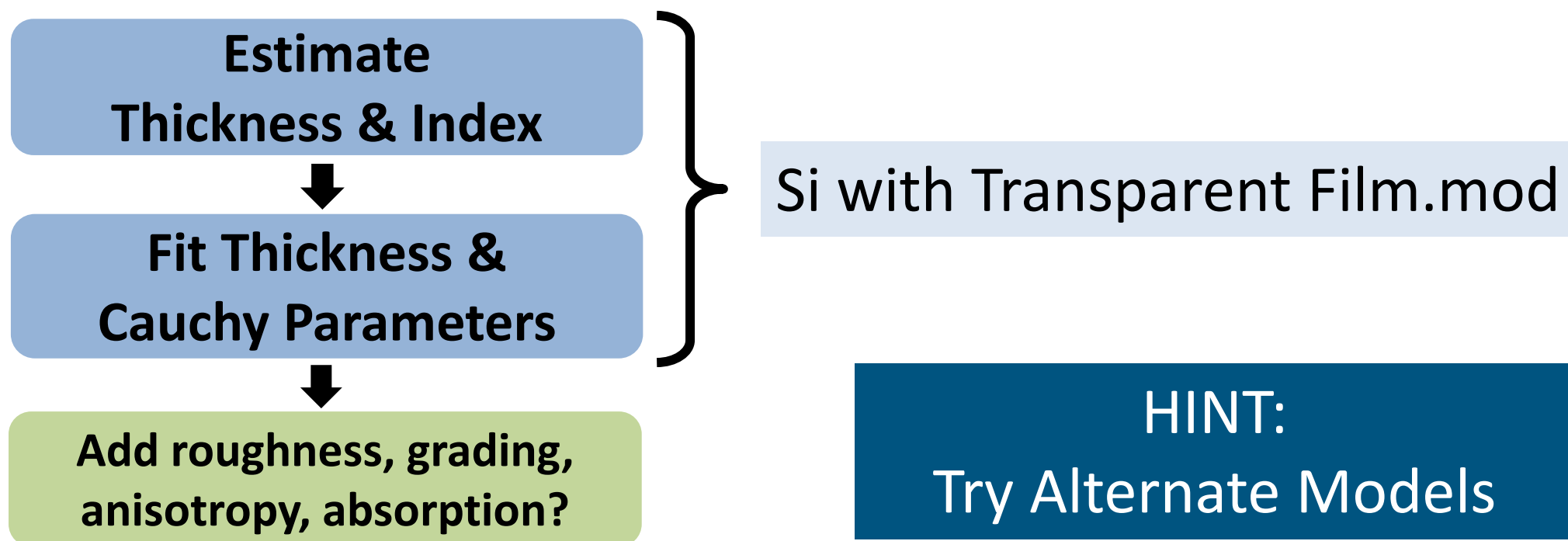
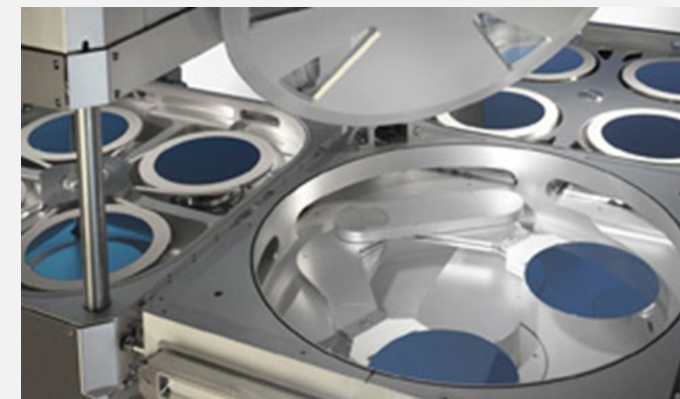


## Index also affects amplitude



## [ 1 ] 3-1\_Oxynitride\_on\_Si.SE

- Determine thickness and index
- Review of procedure from Session 2

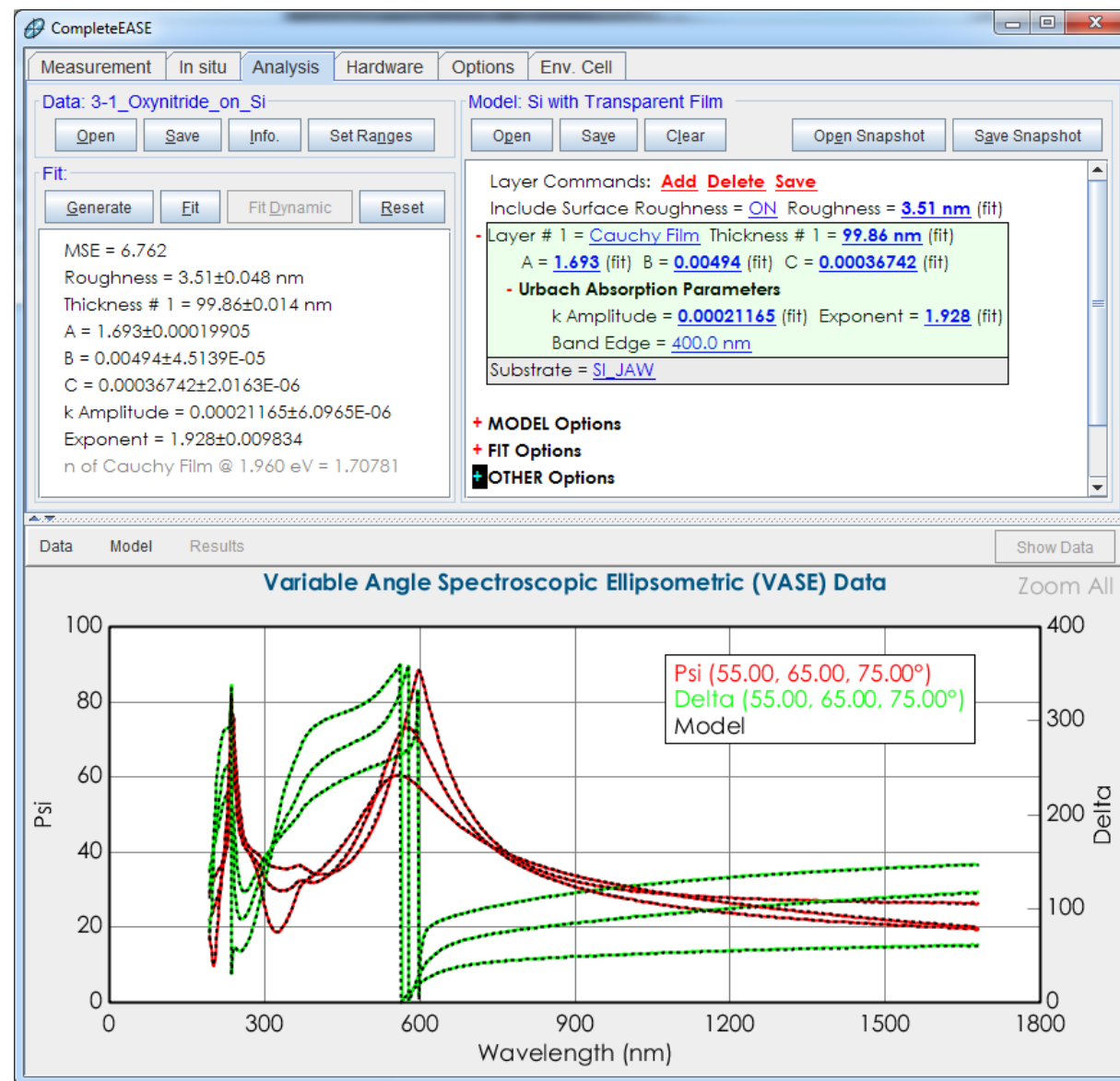
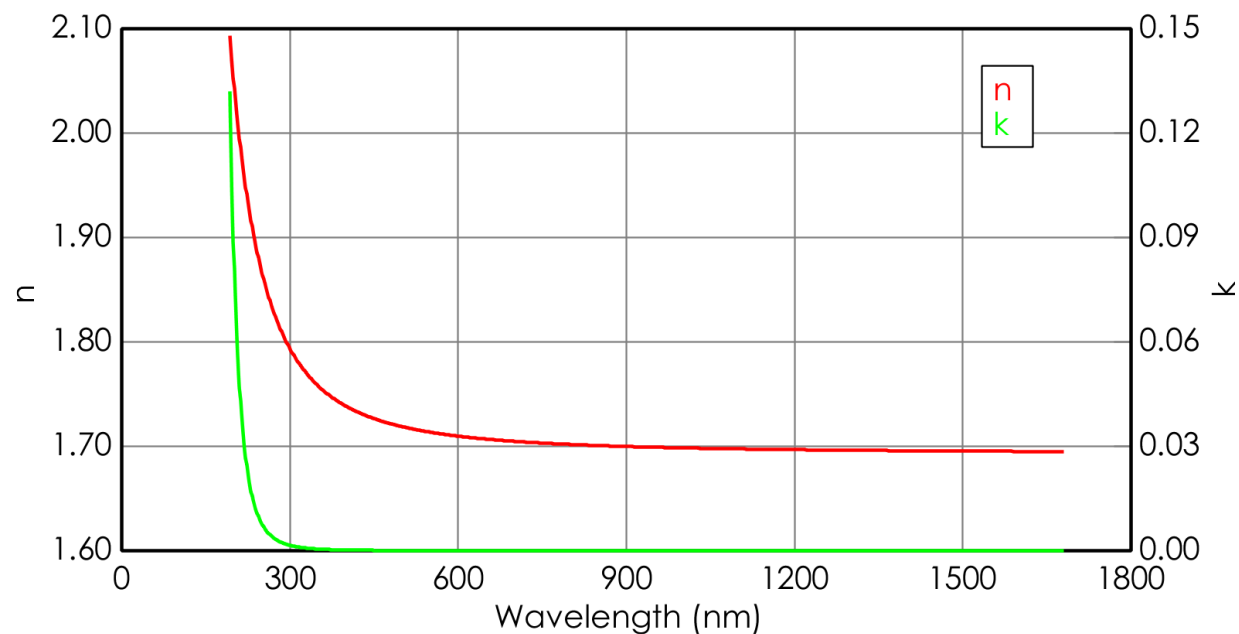




# RESULTS



Optical Constants of Cauchy Film vs. nm







# URBACH ABSORPTION PARAMETERS

- **k Amplitude**
  - Often the only fit parameter needed
  - Controls amplitude of the exponential tail
- **Exponent**
  - Controls shape of the tail
  - Default value often ok
- **Band Edge**
  - Specifies point at which  $k = k_{\text{Amp}}$
  - Typically set to shortest  $\lambda$  (not critical)
  - Only rescales other parameters
  - Not fittable

- Substrate = [Cauchy](#)

A = [1.450](#) B = [0.01000](#) C = [0.0000](#)

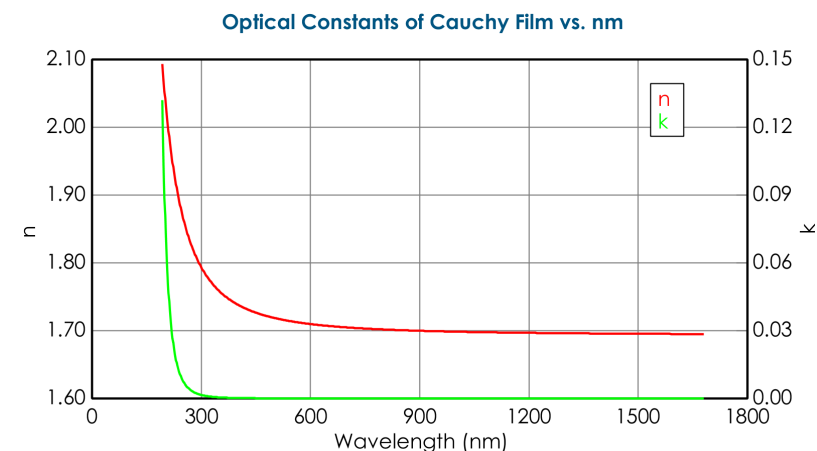
- **Urbach Absorption Parameters**

k Amplitude = [0.0000](#) Exponent = [1.500](#)

Band Edge = [400.0 nm](#)

$$n(\lambda) = A + \frac{B}{\lambda^2} + \frac{C}{\lambda^4}$$

$$k = k_{\text{Amplitude}} \cdot e^{\text{Exponent}(E - \text{Band\_Edge})}$$





# URBACH ABSORPTION

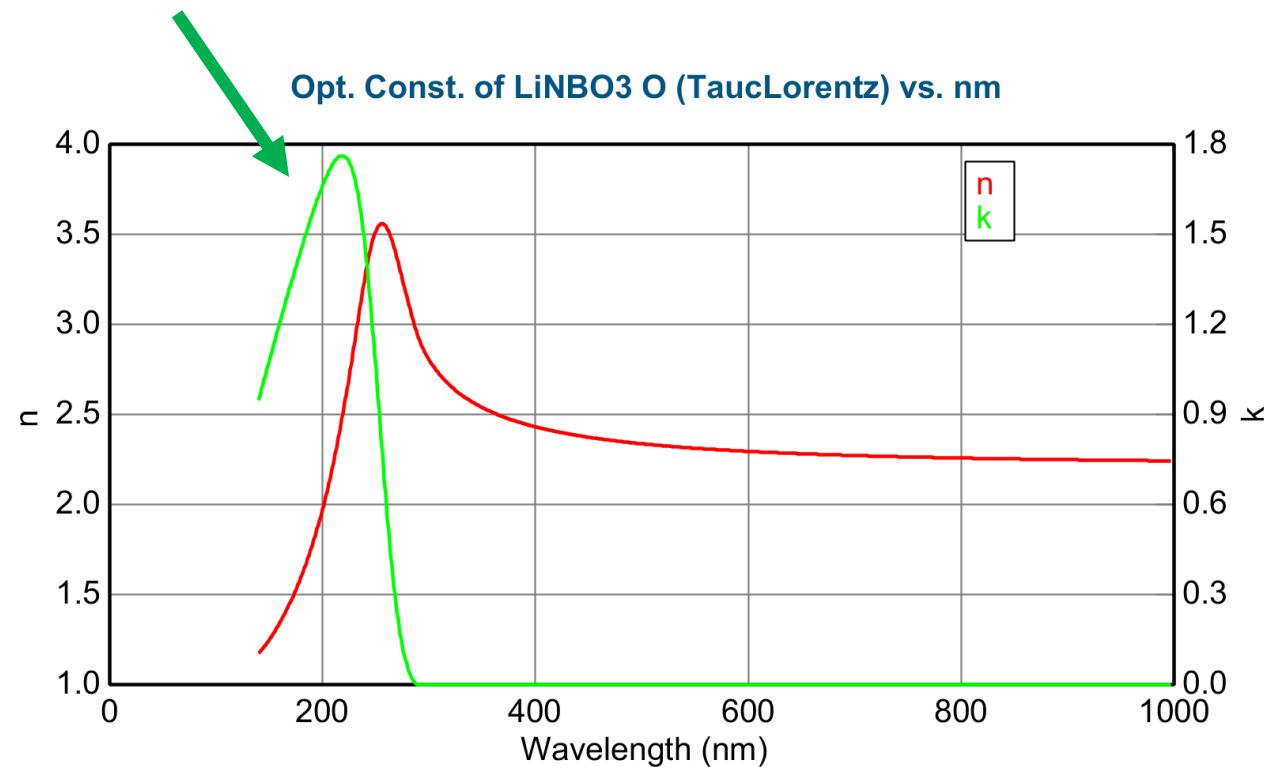
## ■ Advantages

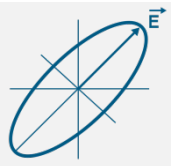
- Easy to use
- Works well for absorption tail

## ■ Disadvantages

- Cannot be used for complex absorption
- Not Kramers-Kronig consistent

Would Urbach work here?





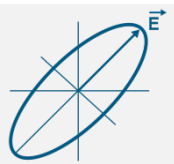
# MODELING DIFFERENT MATERIALS

For purpose of SE, we have 3 general categories:

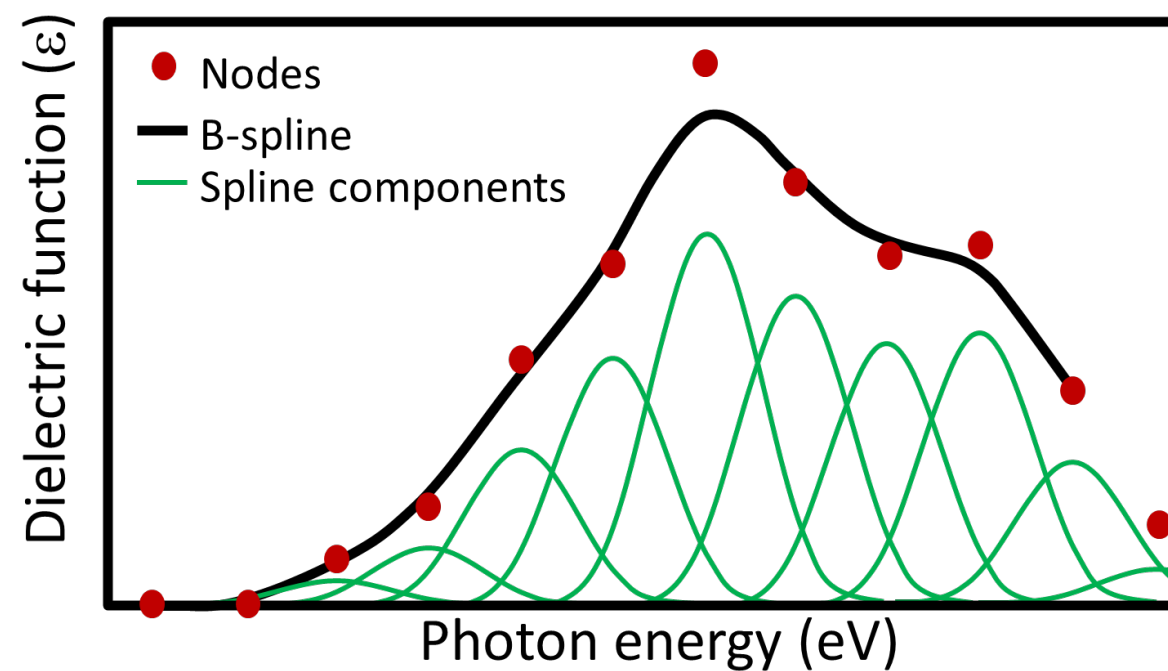
Transparent

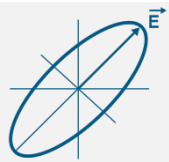
Absorbing  
Regions

Absorbing

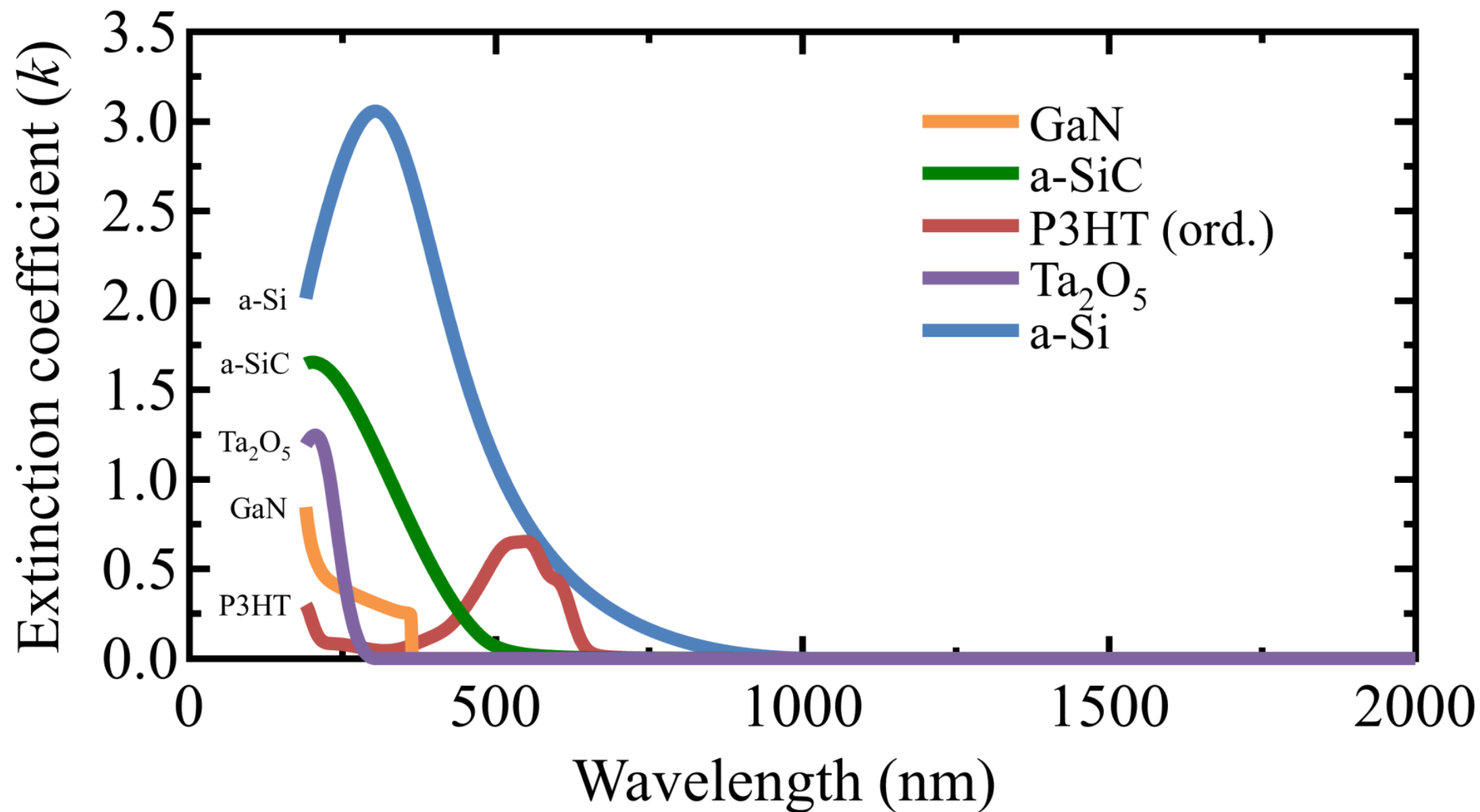


# NEW TOOL: B-SPLINE



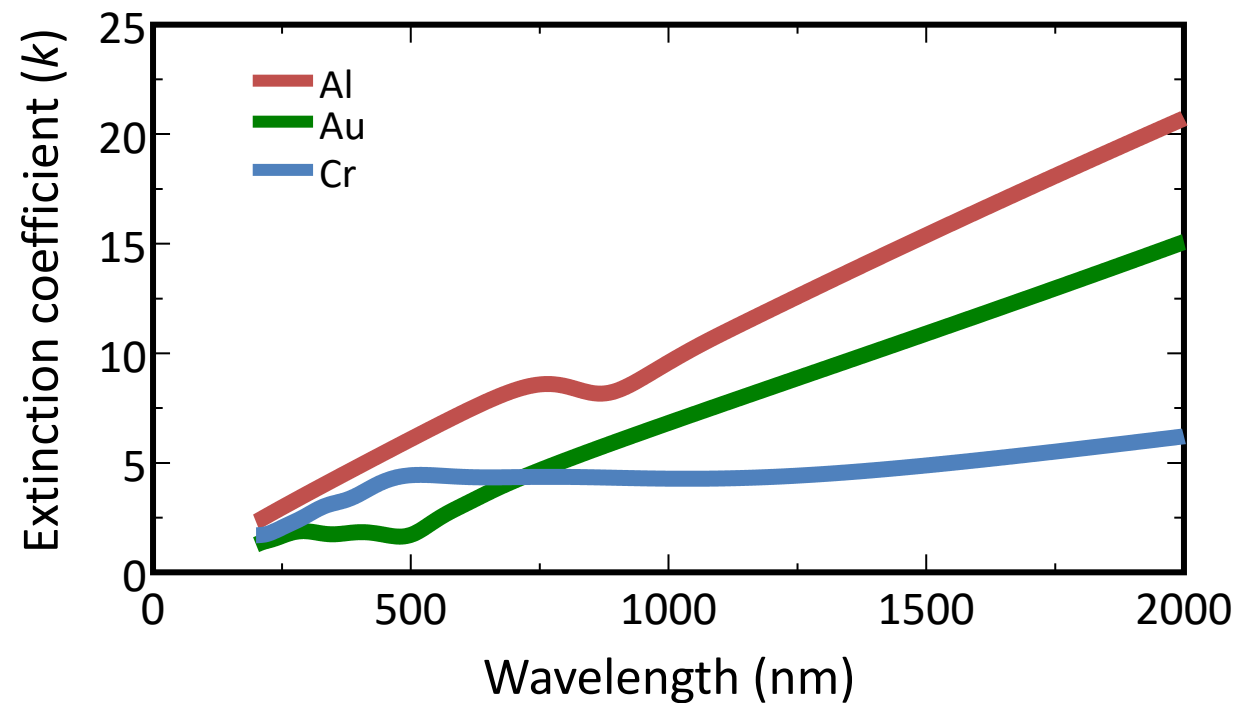
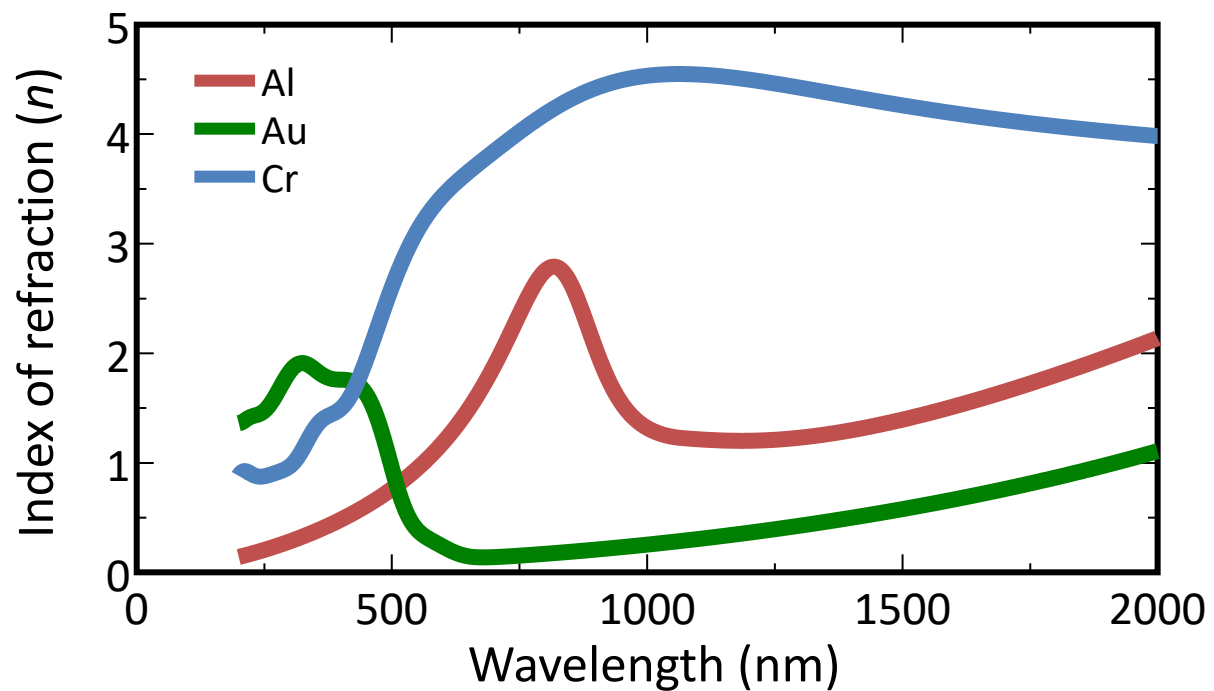


# MATERIALS WITH ABSORBING REGIONS





# ABSORBING MATERIALS (METALS)





# MATERIALS THAT ABSORB



- We will apply the **B-Spline** and **Gen-Osc** layers to describe absorbing materials to fit their optical constants (both  $n$  and  $k$ ).
- Benefits:
  - Smooth, continuous functions
  - Can constrain to “physical” shapes

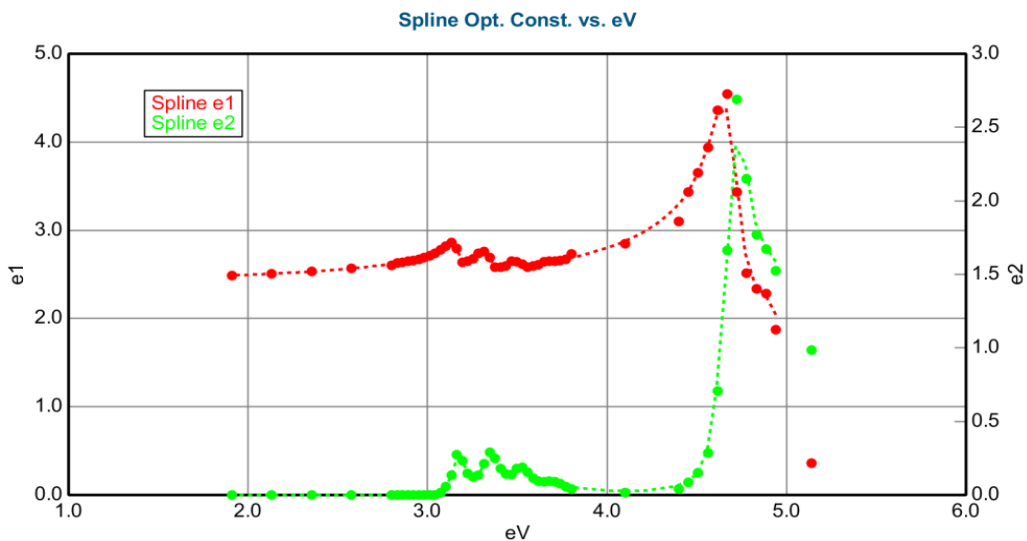


# MODELING ABSORBING REGION

## B-Spline

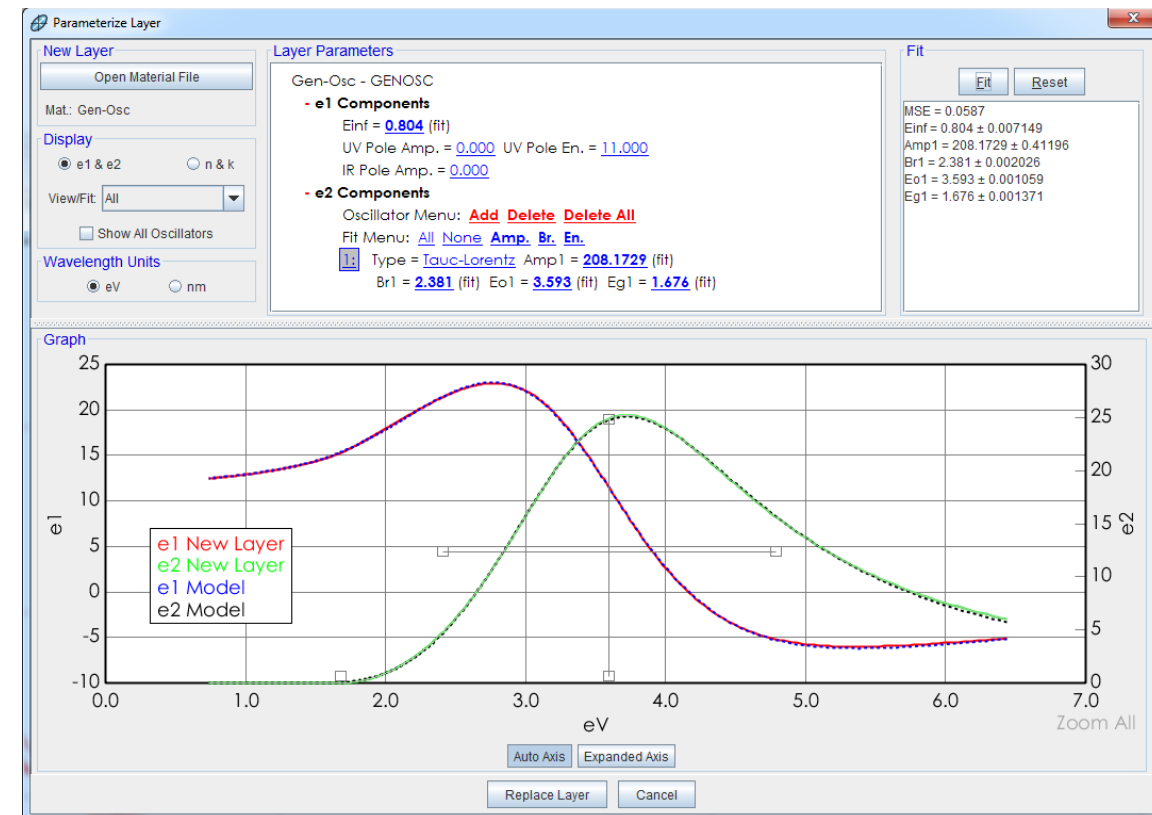
- Interpolation functions to best describe the shape of optical constants

Layer # 1 = [B-Spline](#) Thickness # 1 = [44.41 nm](#) (fit)  
 Resolution (eV) = [0.300](#) 19 Pts. (0.738-6.438 eV) [Draw Node Graph](#)  
 Fit Opt. Const. = [ON](#)  
 Use KK Mode = [OFF](#)  
**+ Nodes**  
**+ Advanced**



## Gen-Osc

- Combine physically motivated Oscillator functions to describe physical nature of optical constants with minimal parameter set

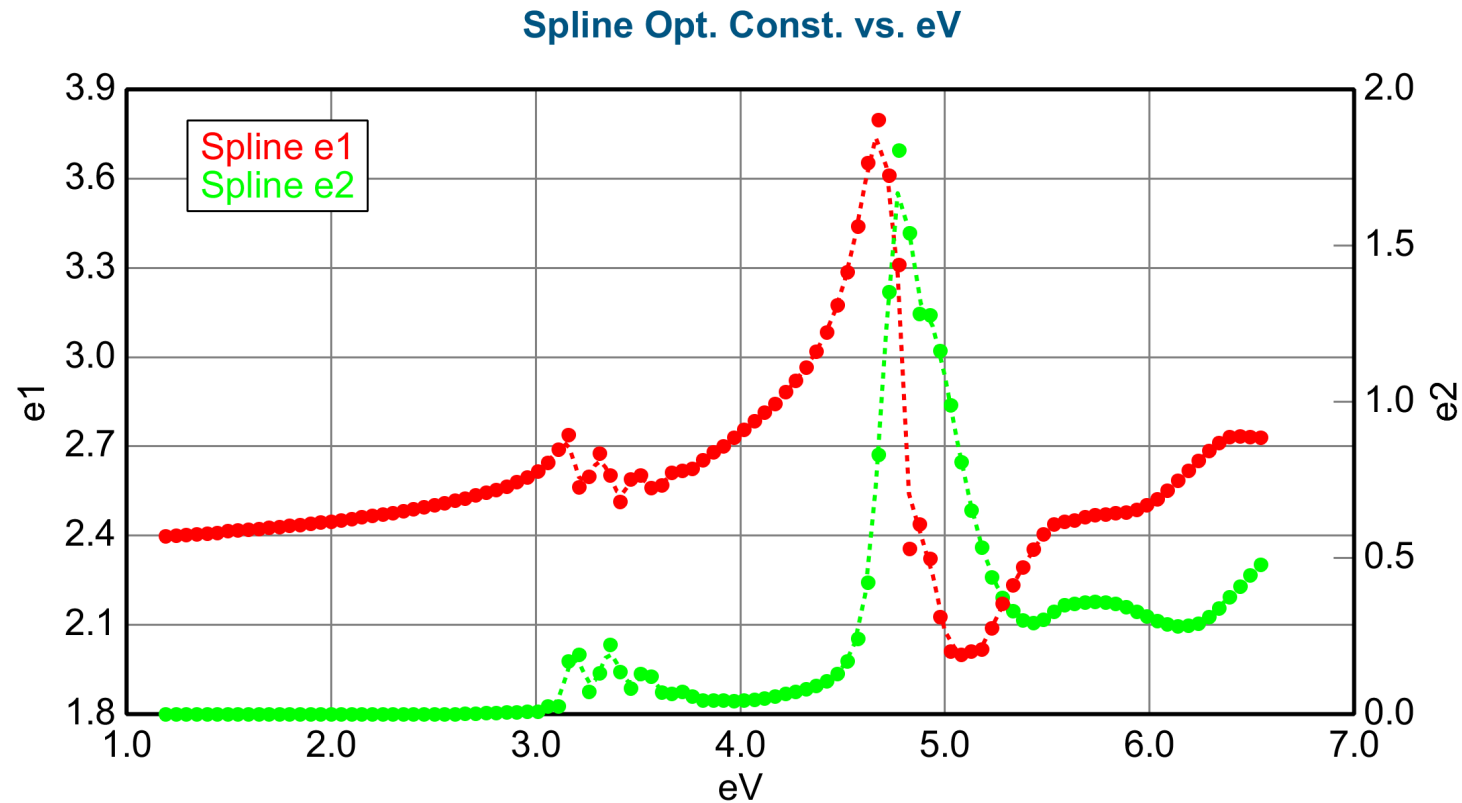






# NEW TOOL: B-SPLINE

Simple spline curve designed to represent your optical properties as a function of wavelength

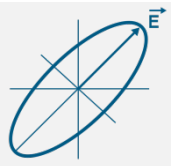




# NICE FEATURES OF B-SPLINE

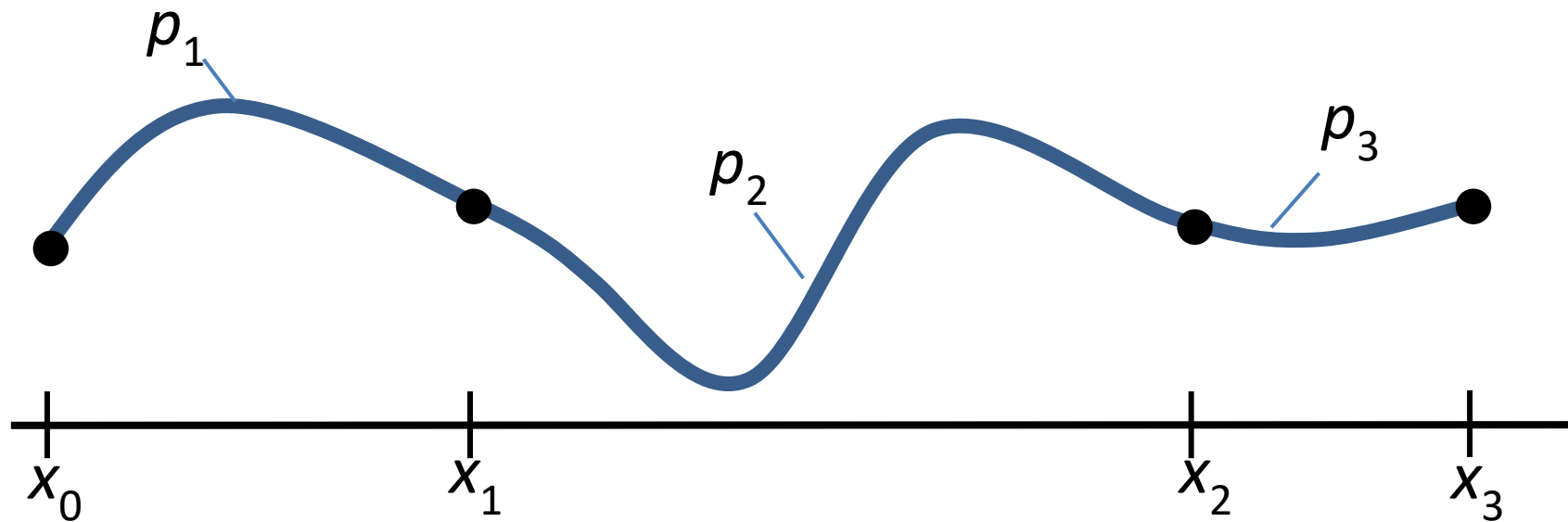


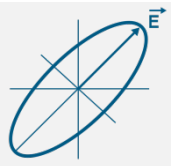
1. Smooth and continuous curve
2. Curve will not go beyond control points.  
Thus, avoid negative  $k$  by forcing control points  $\geq 0$ .
3. When one point moves, it only affects shape of curve near this point – unlike some functions which affect the shape everywhere.
4. Easy to apply Kramers-Kronig Integration



# SMOOTH FUNCTIONS

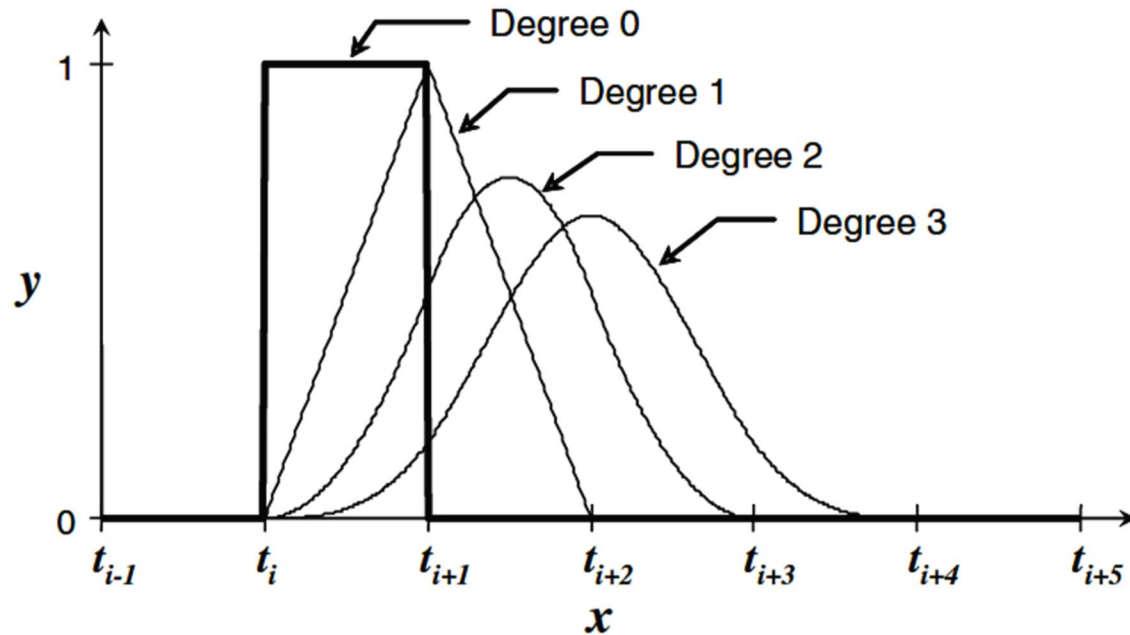
- Polynomials:  $p_m(x) = a_mx^m + a_{m-1}x^{m-1} + \dots + a_1x + a_0$
- Splines use multiple connected polynomials.





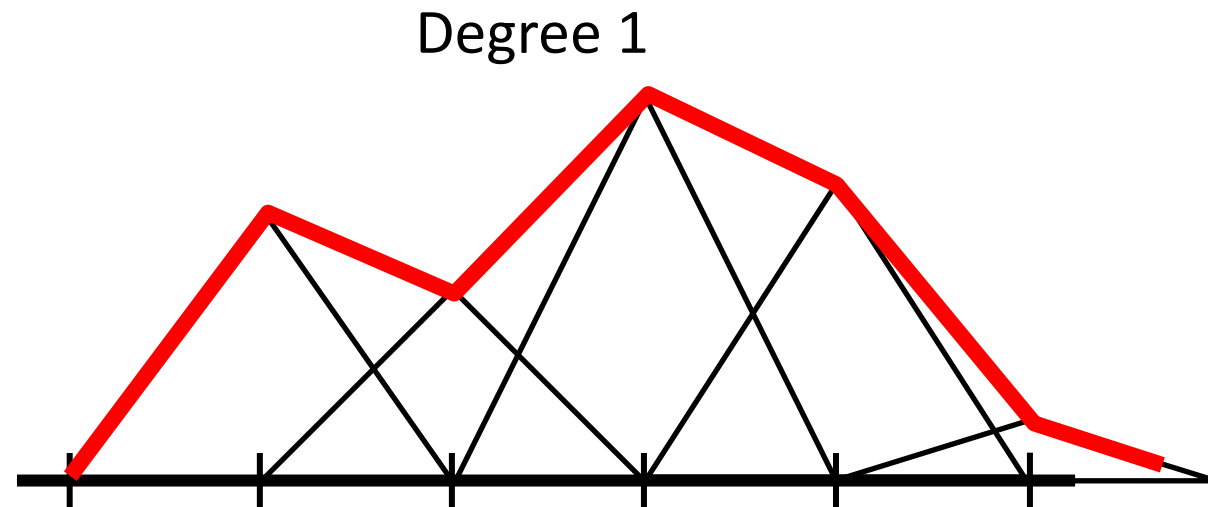
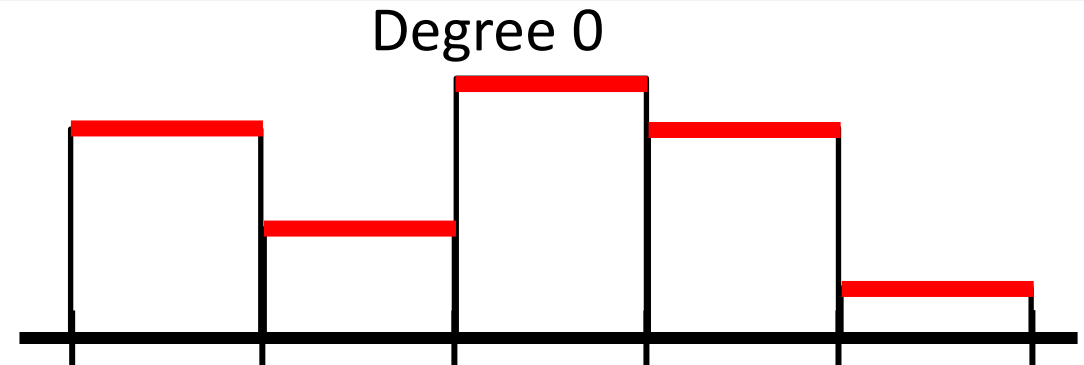
# WHAT IS B-SPLINE?

- **B:** Basis functions
- **Spline:** Sum of basis functions



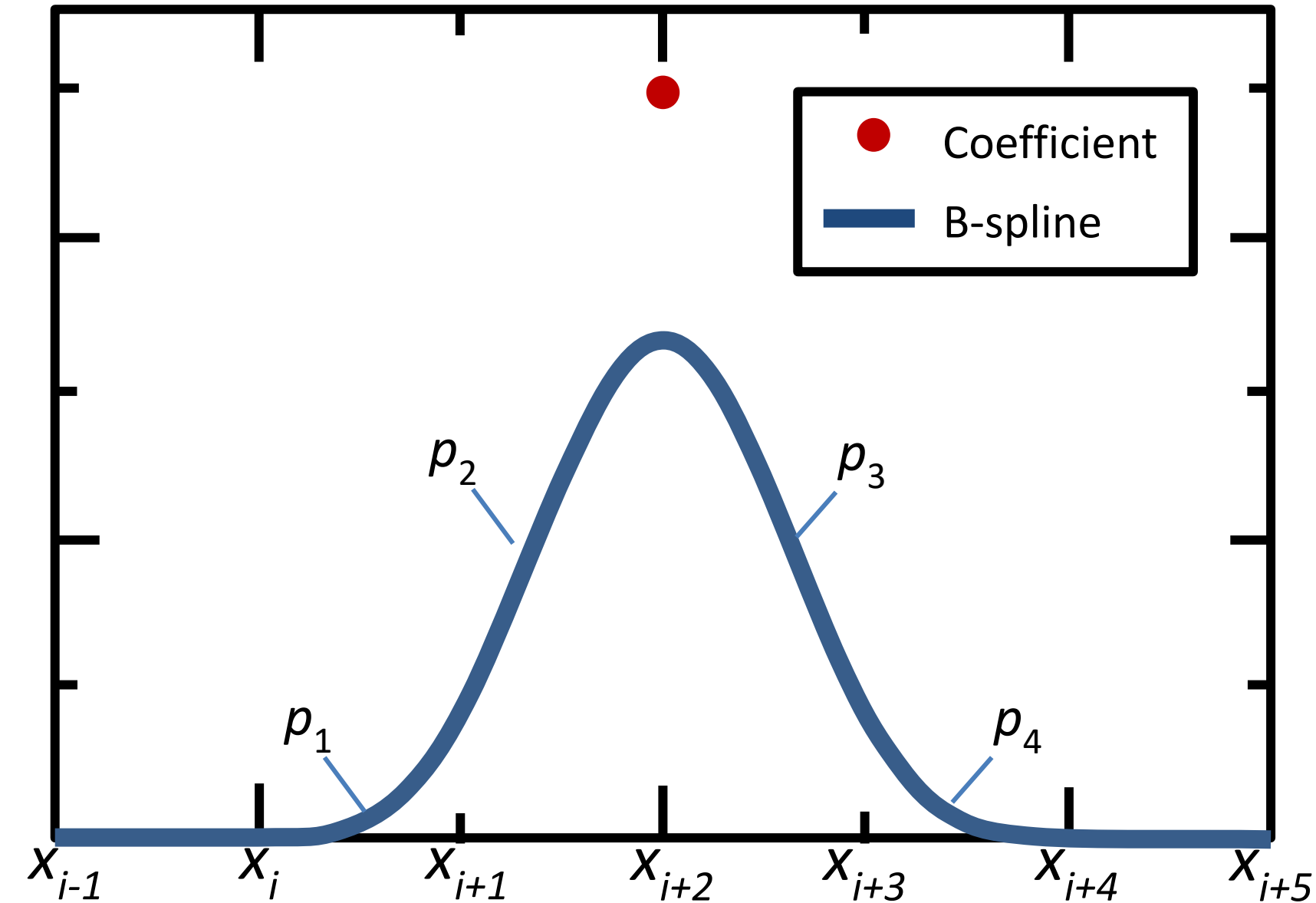
**Figure 1** B-spline basis function  $t_i$  for degrees 0, 1, 2, and 3.

B. Johs and J.S. Hale, "Dielectric function representation by B-splines", *Phys. Stat. Sol. (a)* 2008.



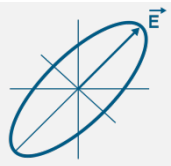


# 3<sup>RD</sup> DEGREE BASIS FUNCTION

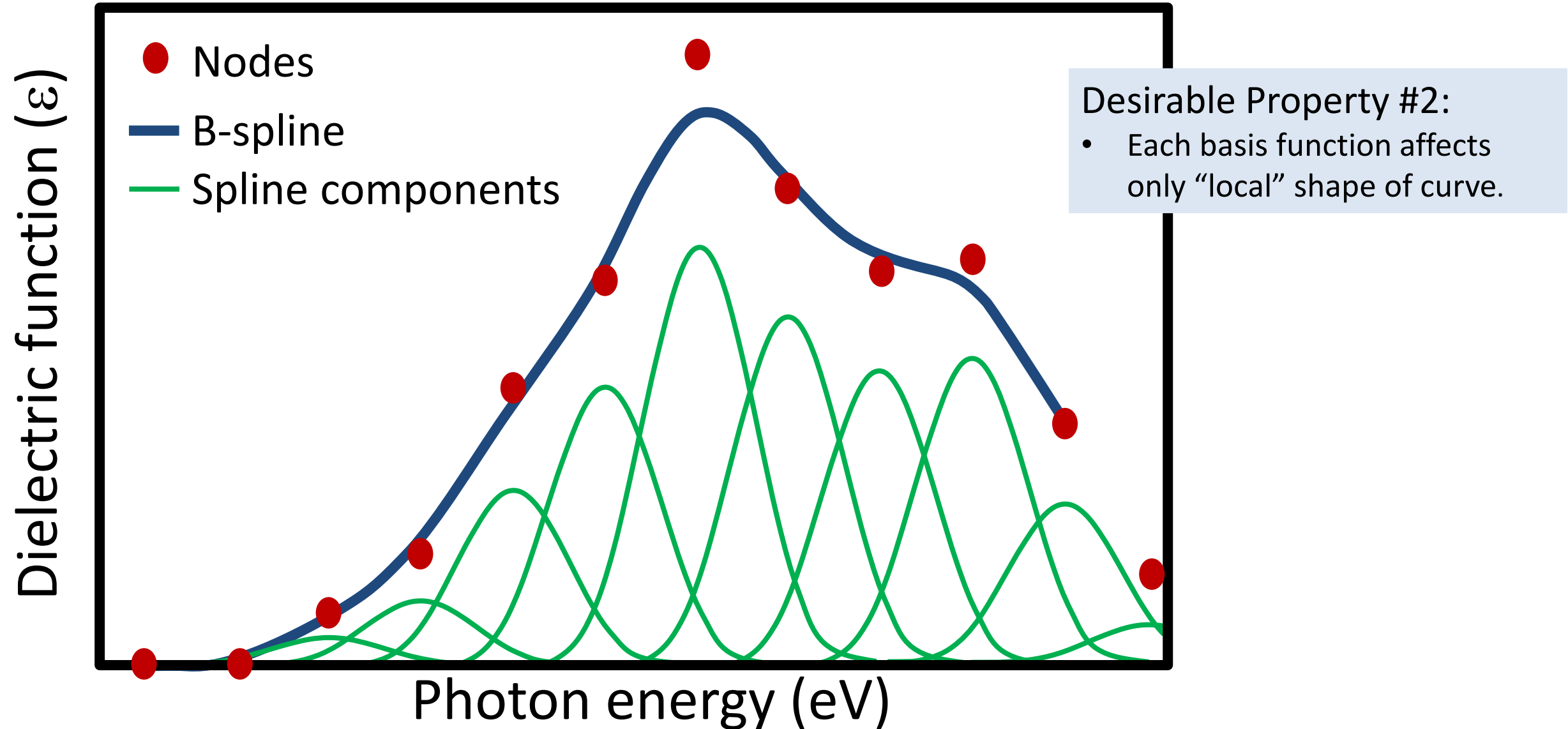


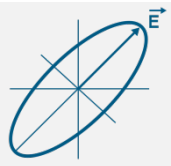
## Desirable Property #1:

- Function, 1<sup>st</sup> and 2<sup>nd</sup> derivatives are smooth and continuous.

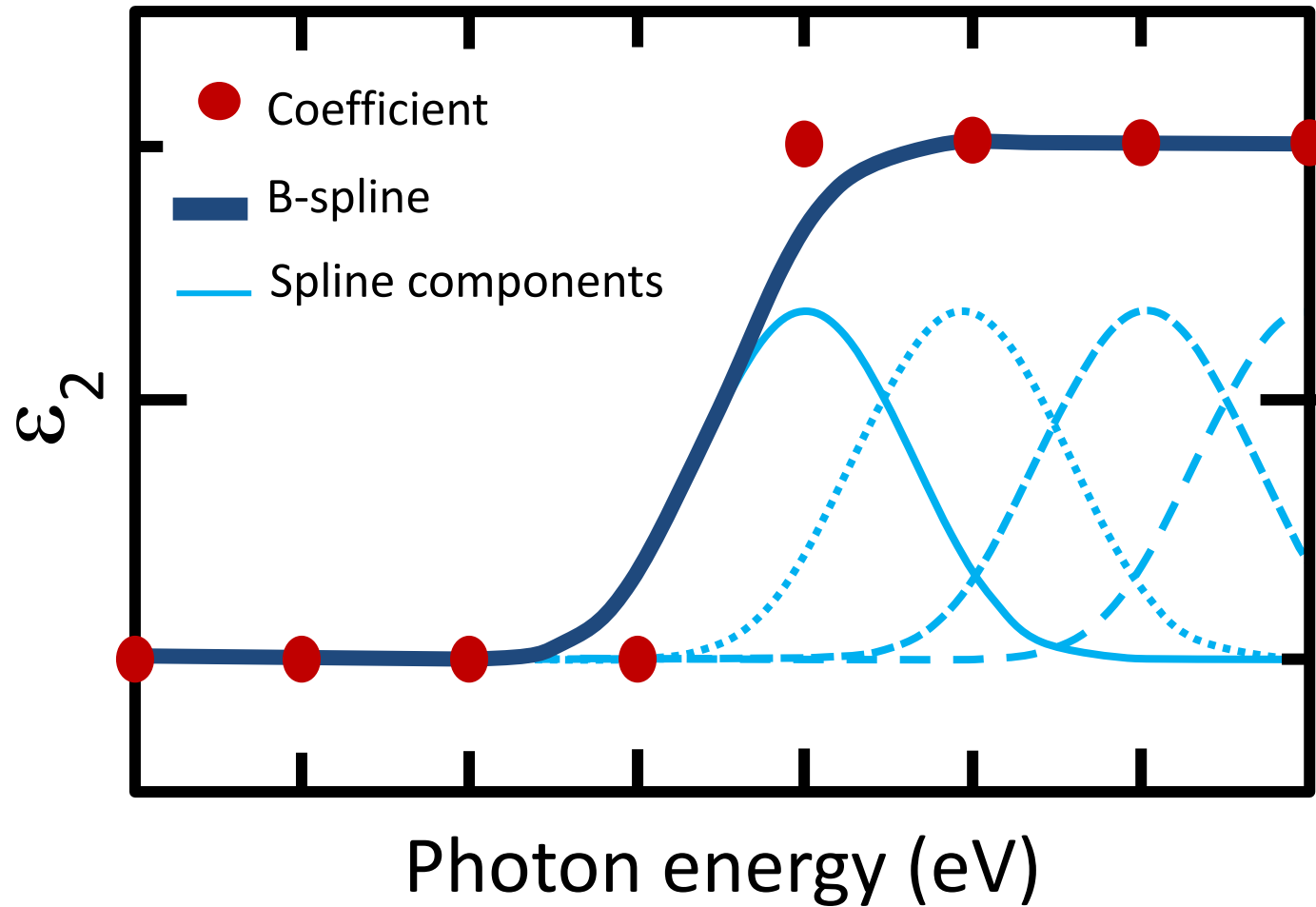


# BASIS SPLINE: SUMMED FUNCTION





# B-SPLINE: CONVEX HULL PROPERTY



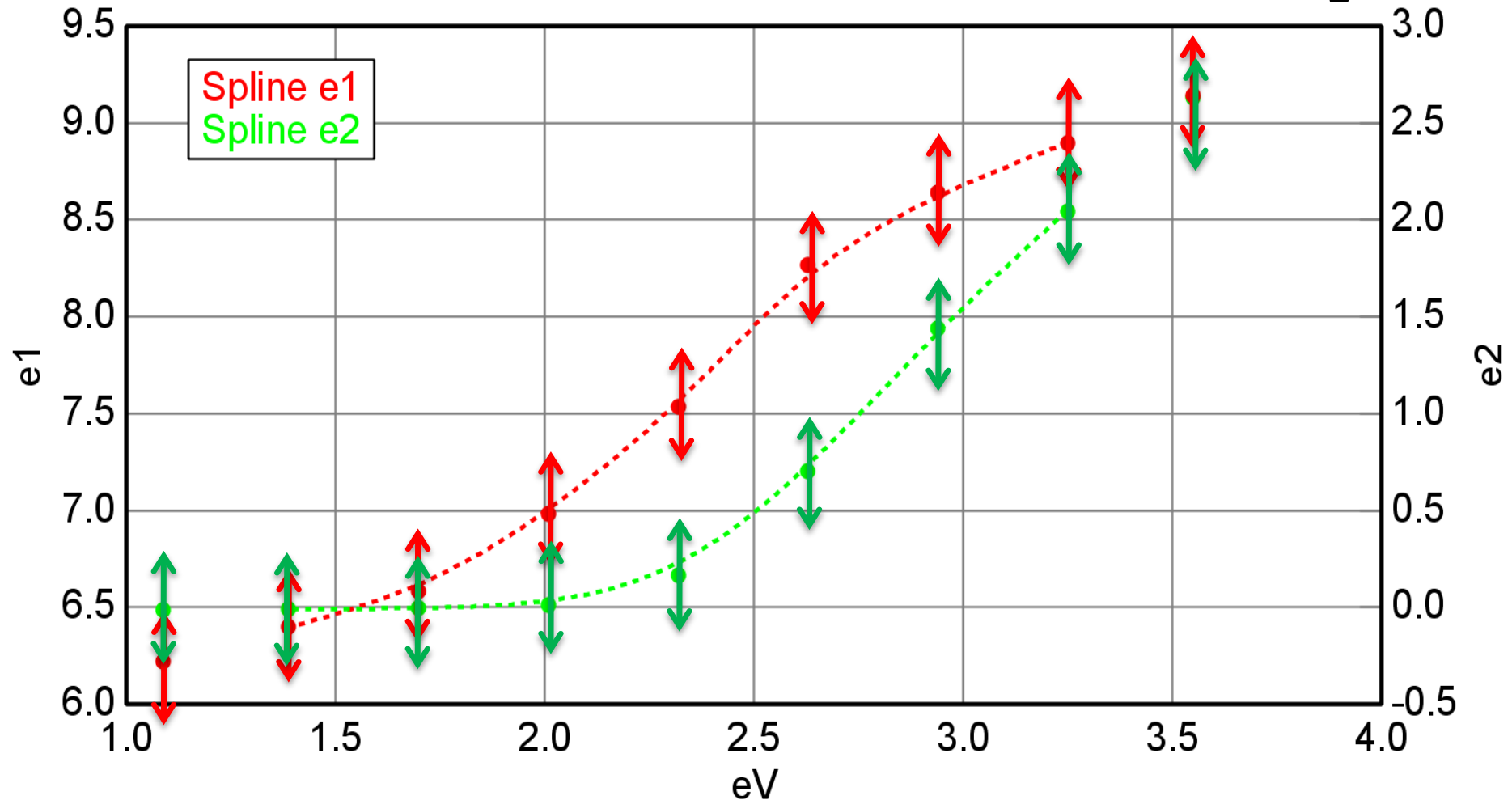
## Desirable Property #3:

- Summed function can't exceed highest or lowest node amplitudes.

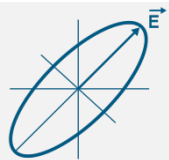


# OPTICAL FUNCTIONS WITH B-SPLINES

- Two B-splines are independently adjusted:  $\epsilon_1$  and  $\epsilon_2$ .



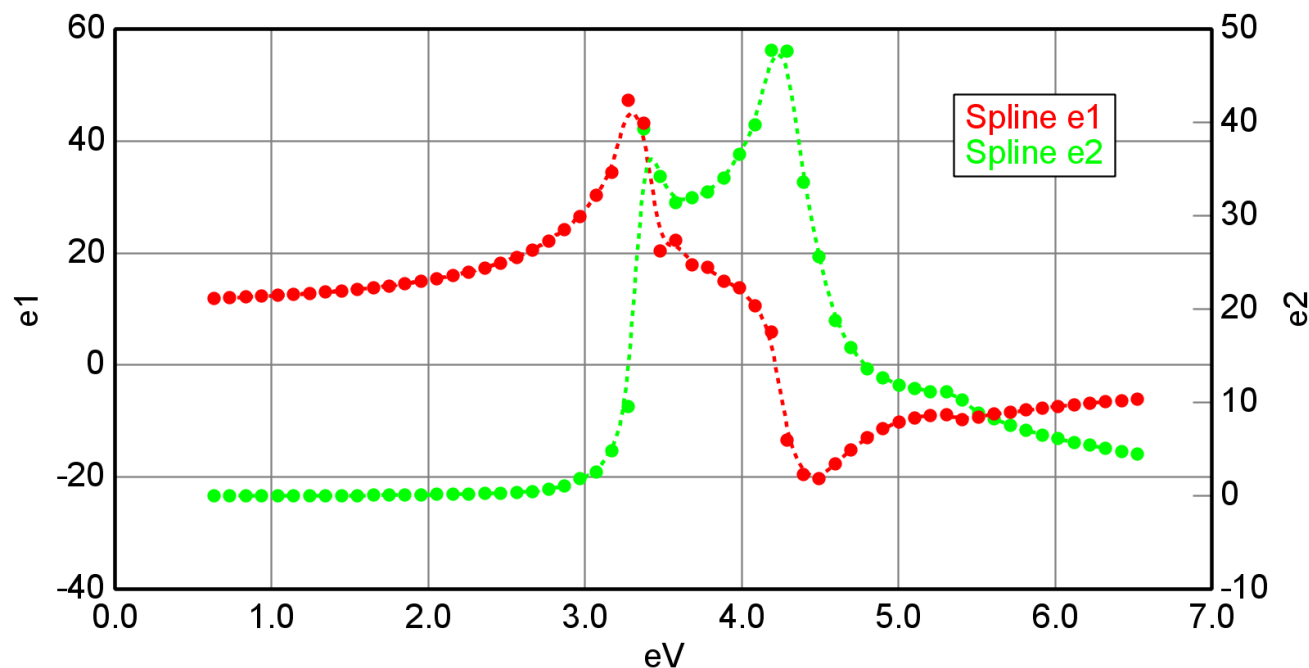




# B-SPLINE LAYER

- Substrate = [B-Spline](#)  
Resolution (eV) = [0.300](#) 18 Pts. (1.241-6.453 eV) [Draw Node Graph](#)  
Fit Opt. Const. = [ON](#)  
Use KK Mode = [OFF](#)  
+ **Nodes**  
+ **Advanced**

B-Spline Node Graph for Si with 0.100 eV Resolution



- Found in **Basic** folder.
- Nodes are spaced in eV.
- Draw Node Graph displays position of nodes and resulting dielectric function.



# GETTING STARTED WITH A B-SPLINE

- Move the Nodes to a good location for your material:

**Add B-Spline Layer:**  
Set “Init. Values”

- Substrate = [B-Spline](#)  
Resolution (eV) = [0.300](#) 13 Pts. (1.240-4.960 eV) [Draw Node Graph](#)  
Fit Opt. Const. = [ON](#)  
Use KK Mode = [OFF](#)

**- Nodes**  
Init. values: n = [1.500](#) k = [0.00](#) Starting Mat = [none](#)  
Force EZ Positive = [OFF](#)  
Assume Transparent Region = [OFF](#)  
Show Nodes = [OFF](#)  
Node Spacing Spectral Ranges: [Add](#) [Delete](#) [Delete All](#)

**+ Advanced**

**Add Material:**  
Right-click > Parameterize Layer

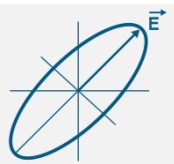
Substrate = [Si JAW](#)

**+ MODEL Options**  
**+ FIT Options**  
**+ OTHER Options**

[Configure C](#)  
[Turn Off All](#)

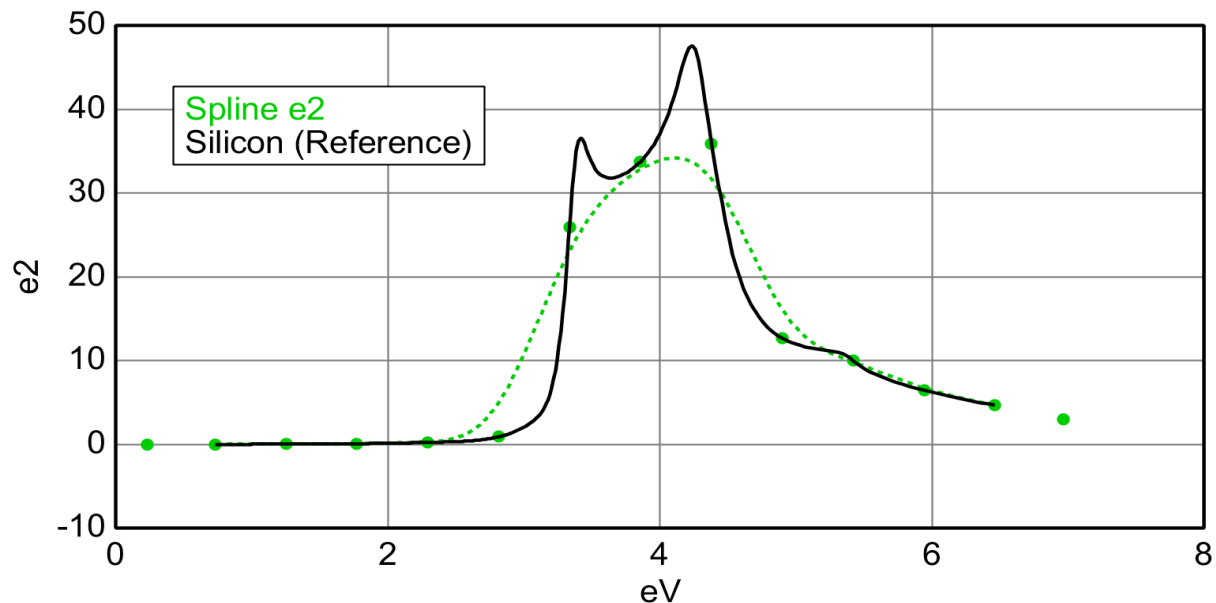
Graph Layer Optical Constants  
Graph Layer Absorption Coefficient  
Rename Layer and Fit Parameters  
Save Layer Optical Constants  
Parameterize Layer  
View Layer Comment  
Convert To EMA  
Convert To Anisotropic  
Grade Layer

GenOsc  
B-Spline  
Cauchy

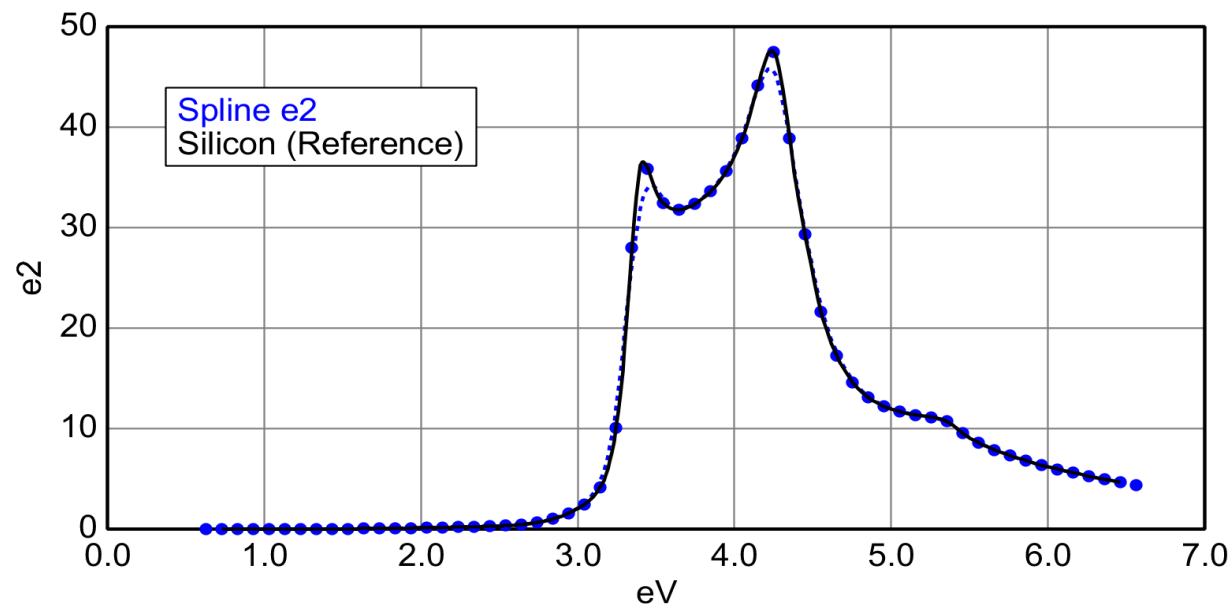


# CHOOSING NODE SPACING

0.5 eV Node Spacing

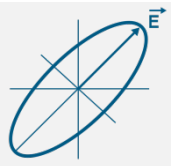


0.1 eV Node Spacing



## Node Spacing Goal:

- Decrease to describe optical constant features.
- Increase to reduce total # of “free fit parameters”



# NODE SPACING RECOMMENDATIONS

- Amorphous Dielectrics and Semiconductors

- Metals

- Organics

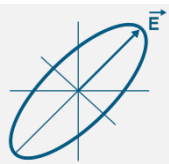
- Crystalline Materials

0.5 eV

0.3 eV

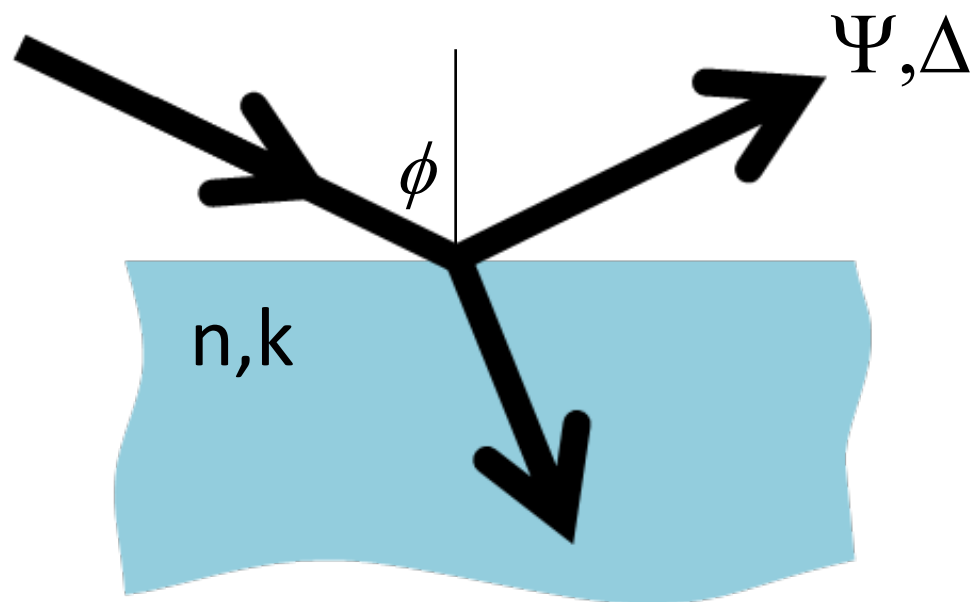
0.1 eV

0.05 eV

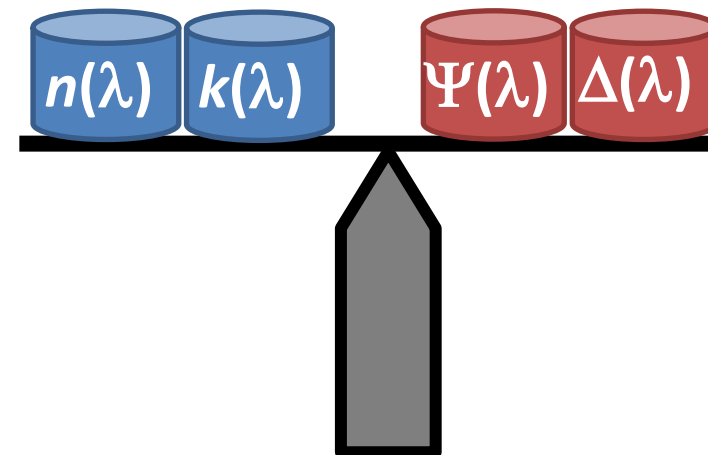


# OPAQUE LAYERS

- Treat metal as “substrate” if no light returns from bottom of layer.  
Typically 50-150nm thick.



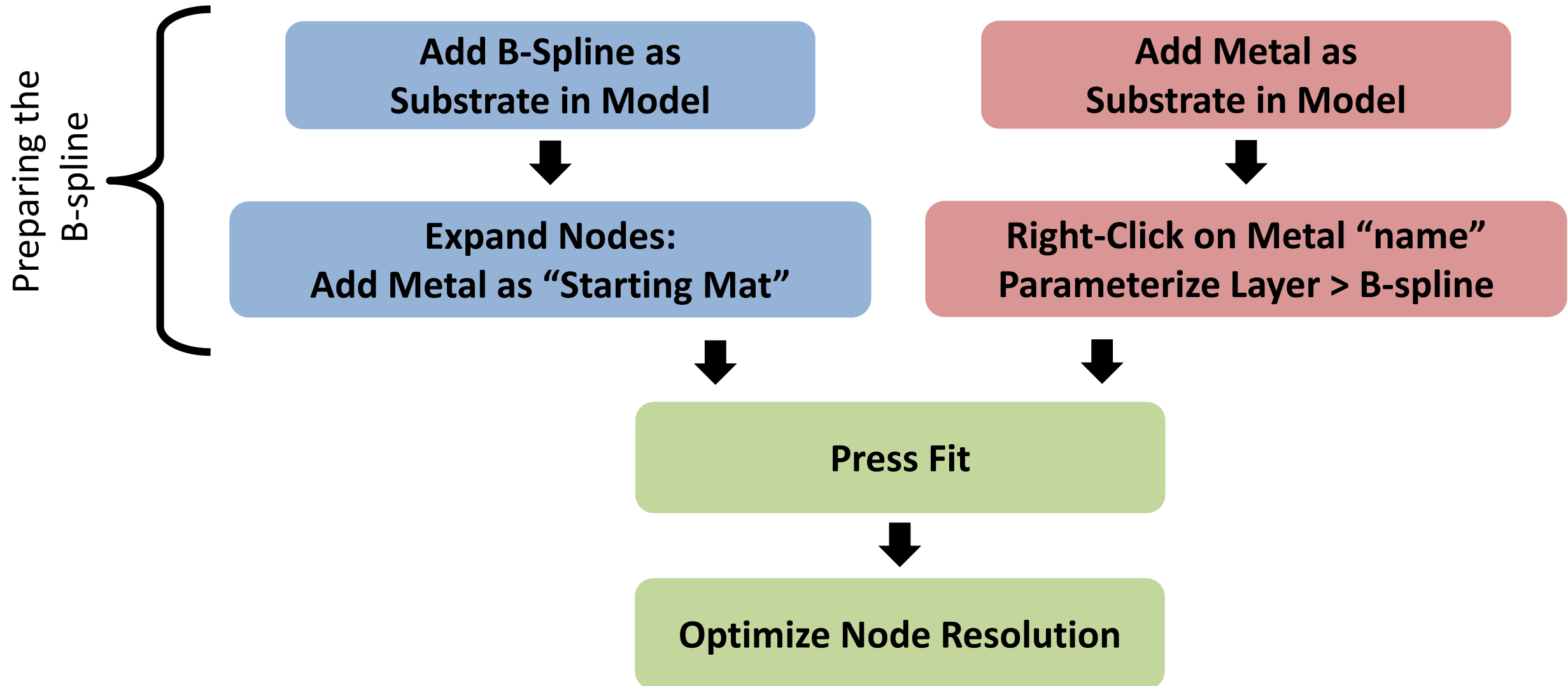
Absorbing

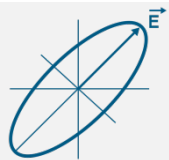


2 Measured Data ( $\Psi, \Delta$ )  
2 Unknowns ( $n, k$ )



# OPAQUE METAL FITTING PROCEDURES





# DEMONSTRATION: OPAQUE COPPER

- Substrate = [B-Spline](#)  
 Resolution (eV) = [0.300](#) 18 Pts. (1.241-6.485 eV) [Draw Node Graph](#)  
 Fit Opt. Const. = [ON](#)  
 Use KK Mode = [OFF](#)

- **Nodes**  
 Init. values: n = [1.500](#) k = [0.00](#) Starting Mat = [Cu\\_nk](#)  
 Force E2 Positive = [OFF](#)  
 Assume Transparent Region = [OFF](#)  
 Show Nodes = [OFF](#)  
 Node Spacing Spectral Ranges: [Add](#) [Delete](#) [Delete All](#)

+ **Advanced**

OR

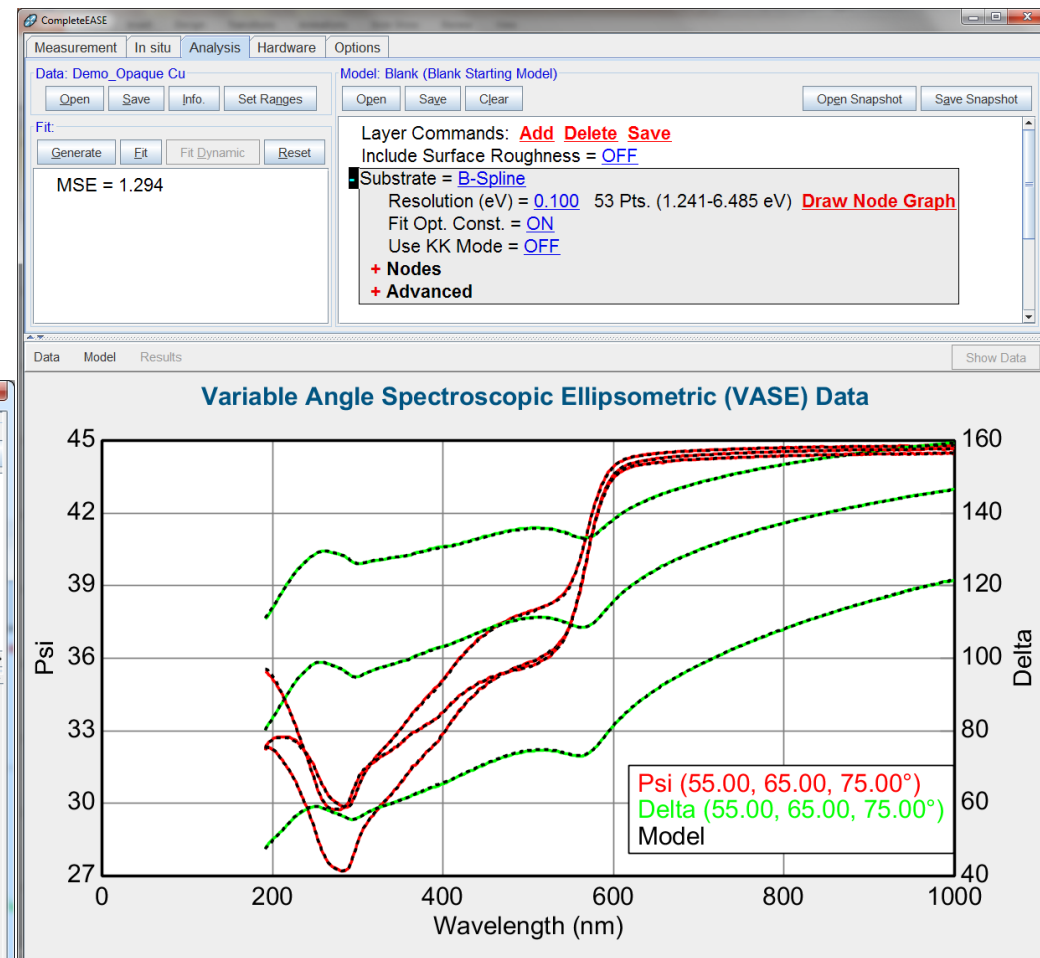
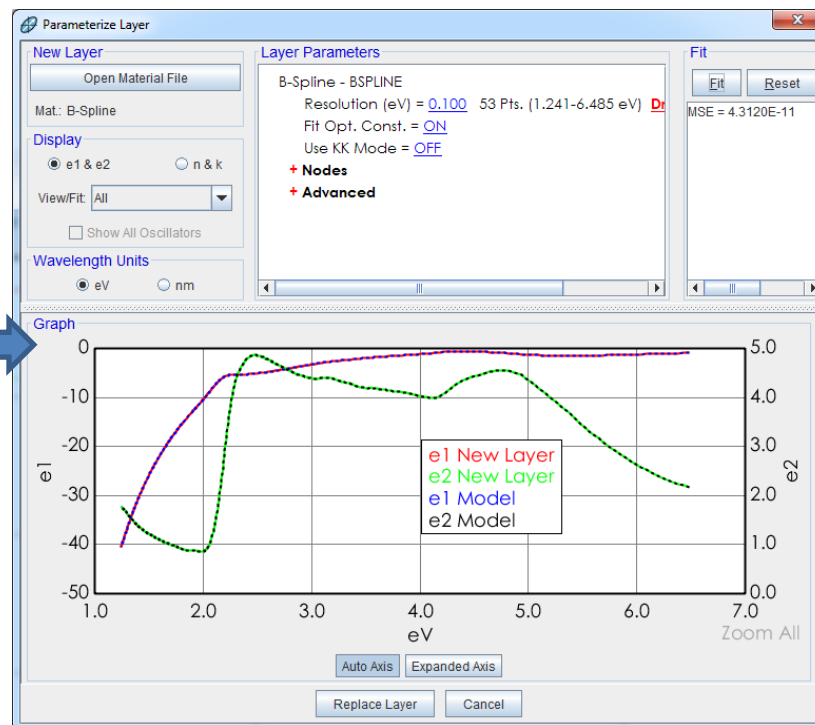
Include Surface Roughness = [OFF](#)

Substrate = [Cu\\_nk](#)

+ **MODEL Options**  
 + **FIT Options**  
 + **OTHER Options**

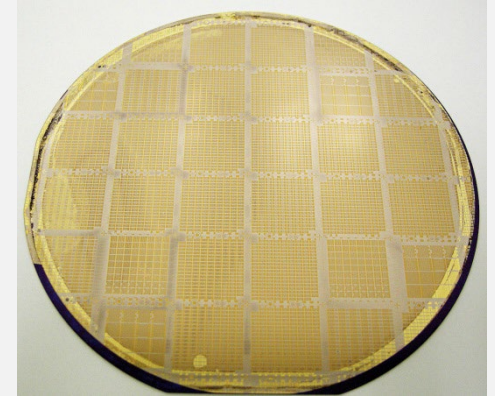
[Configure C](#)  
[Turn Off All](#)

GenOsc  
 B-Spline  
 Cauchy



## [ 2 ] 3-2\_100nm\_Ni\_on\_Si.SE

- Assume Ni is opaque and use B-spline to determine layer optical constants



### QUESTIONS:

- Compare four node resolutions (1, 0.5, 0.3 and 0.1 eV) – which is preferred?

### BONUS:

- How are the optical constants affected if:
  - Nickel is actually 50nm thick
  - Surface is oxidized (estimate with 1nm thick SiO<sub>2</sub>)

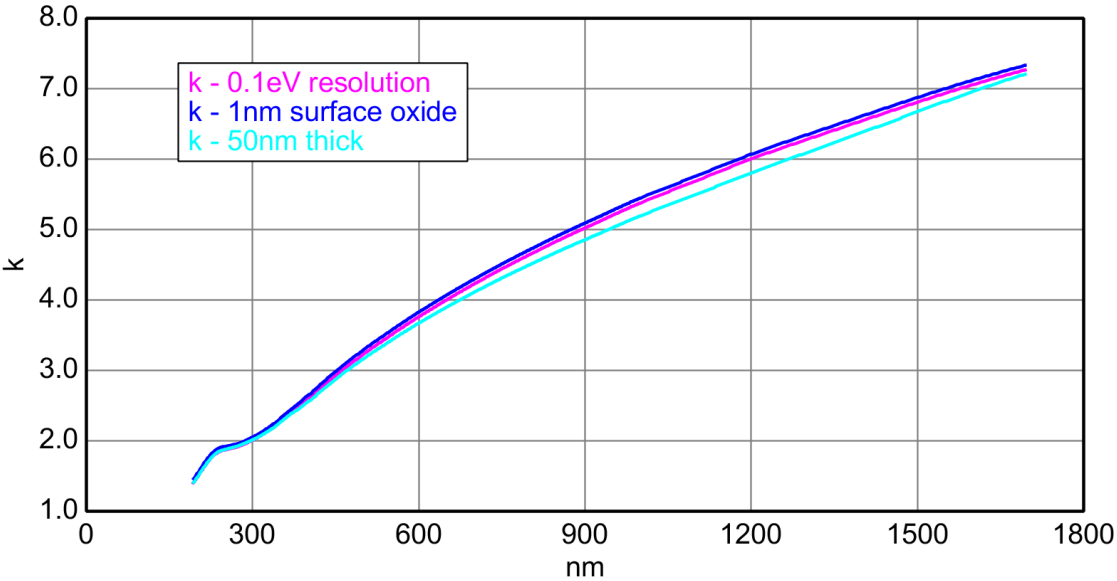
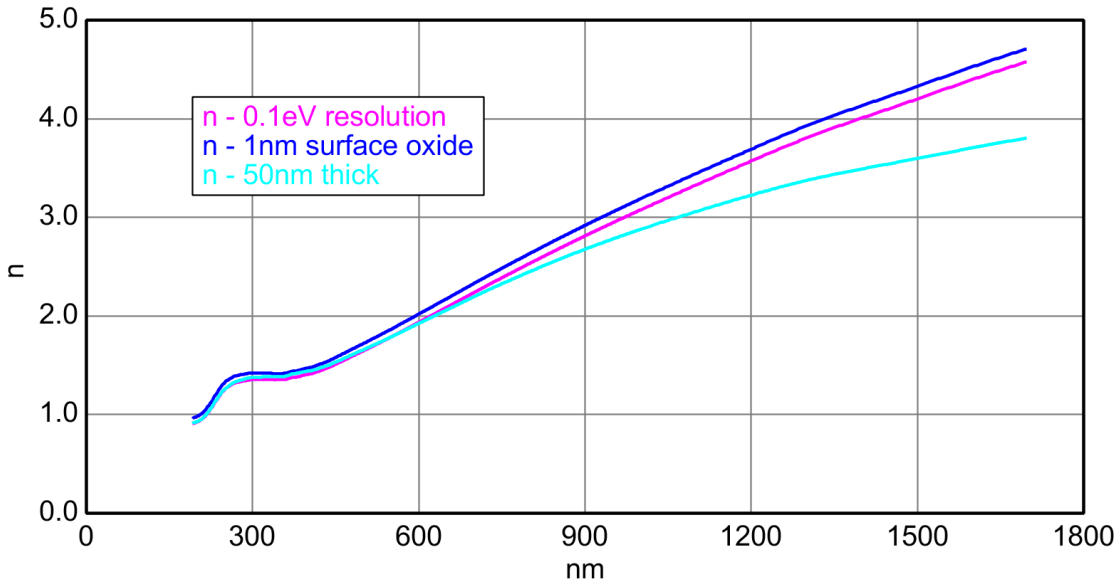


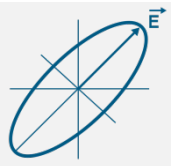


# RESULTS



Node Resolution	# Fit Parm	MSE
1.0 eV	12	12.7
0.5 eV	24	2.6
0.3 eV	40	1.6
0.1 eV	116	1.5

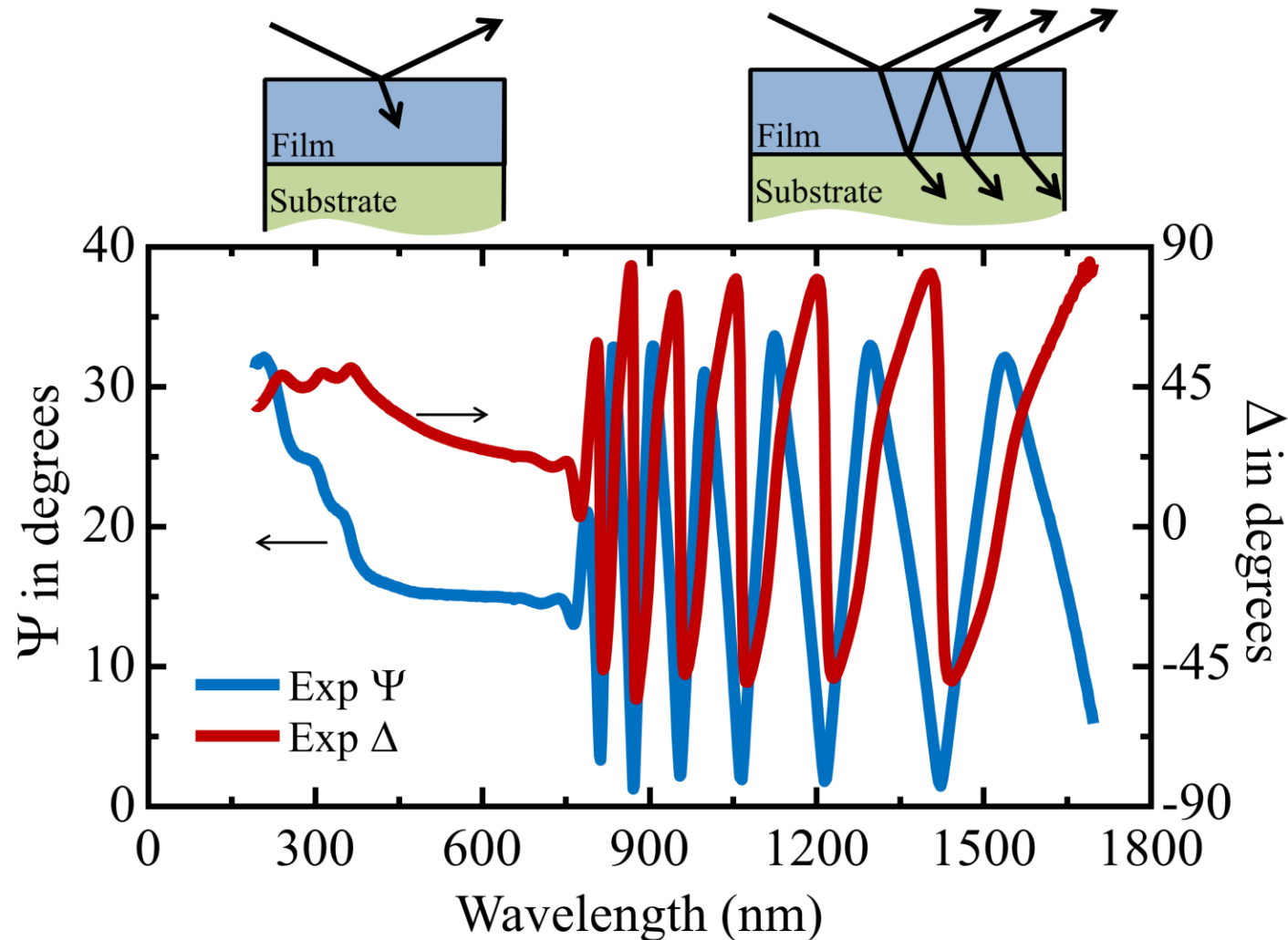


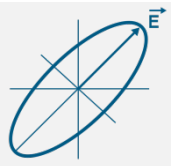


# FILMS WITH ABSORBING REGION

- Need to determine thickness,  $n$  and  $k$ .

Absorbing Regions

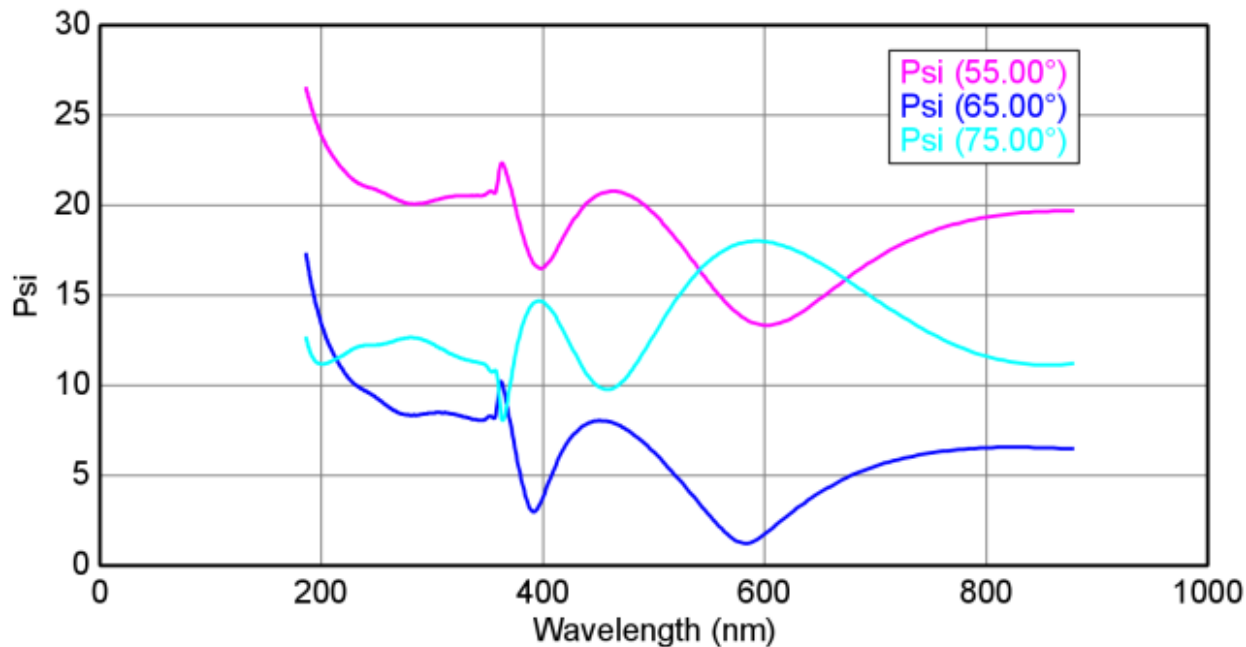




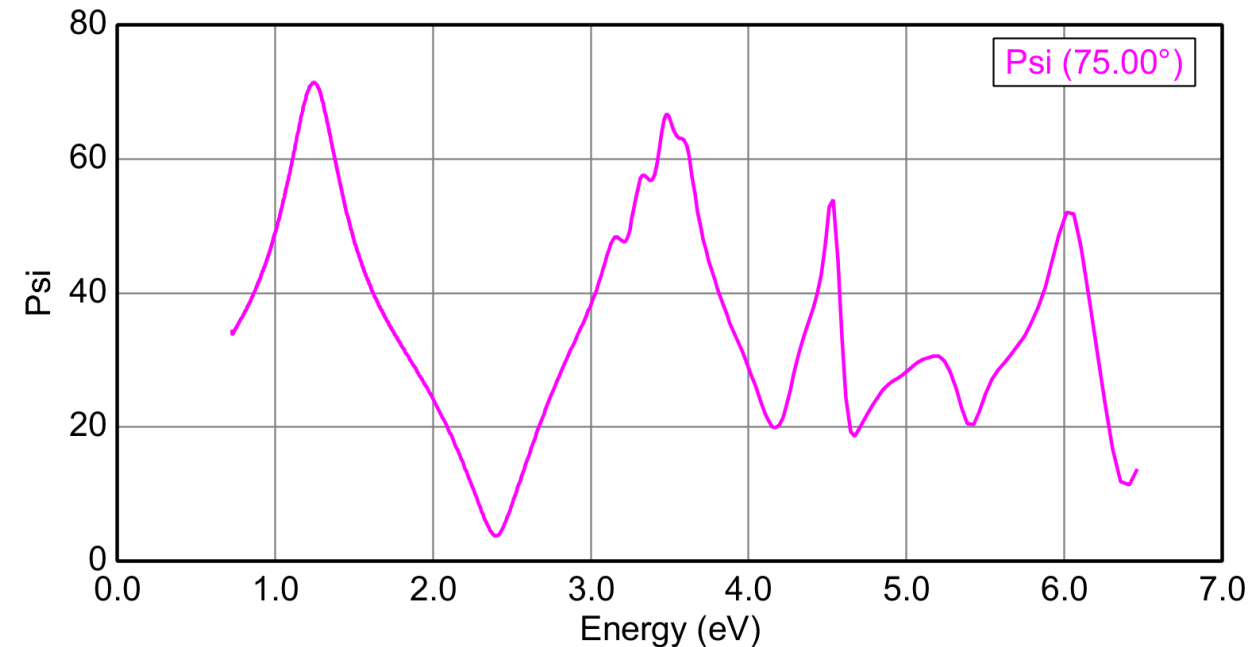
# IDENTIFYING THE ABSORBING REGION

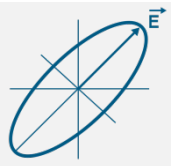
1. Absorbing region affects “amplitude” of thickness oscillations.
2. Absorbing features have different frequency than thickness oscillations.

1



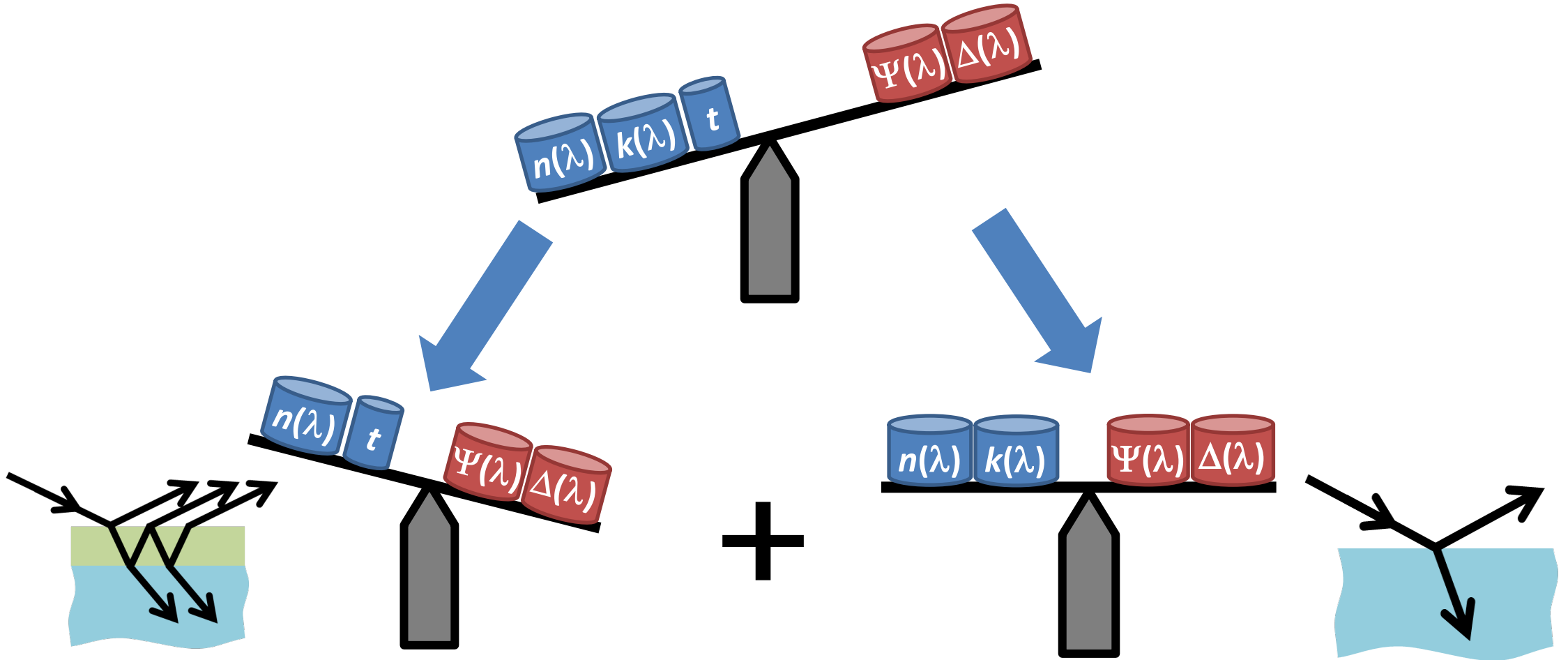
2

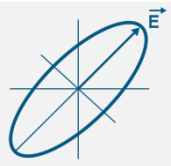




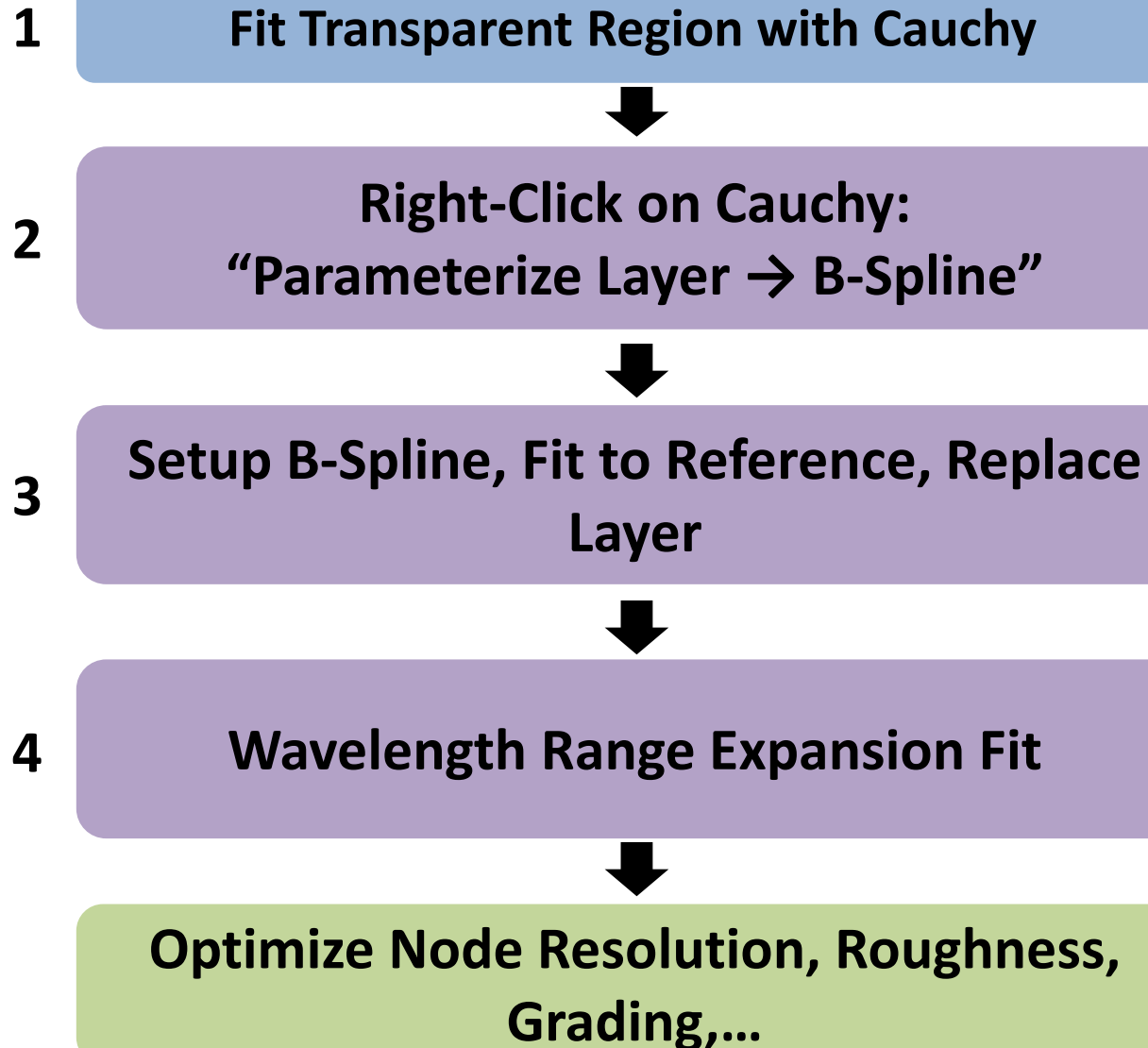
# STRATEGY: FILMS WITH ABSORBING REGION

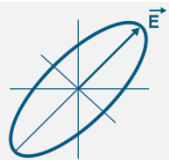
Separate problem into two steps:





# B-SPLINE PROCEDURE (ABSORBING REGION)



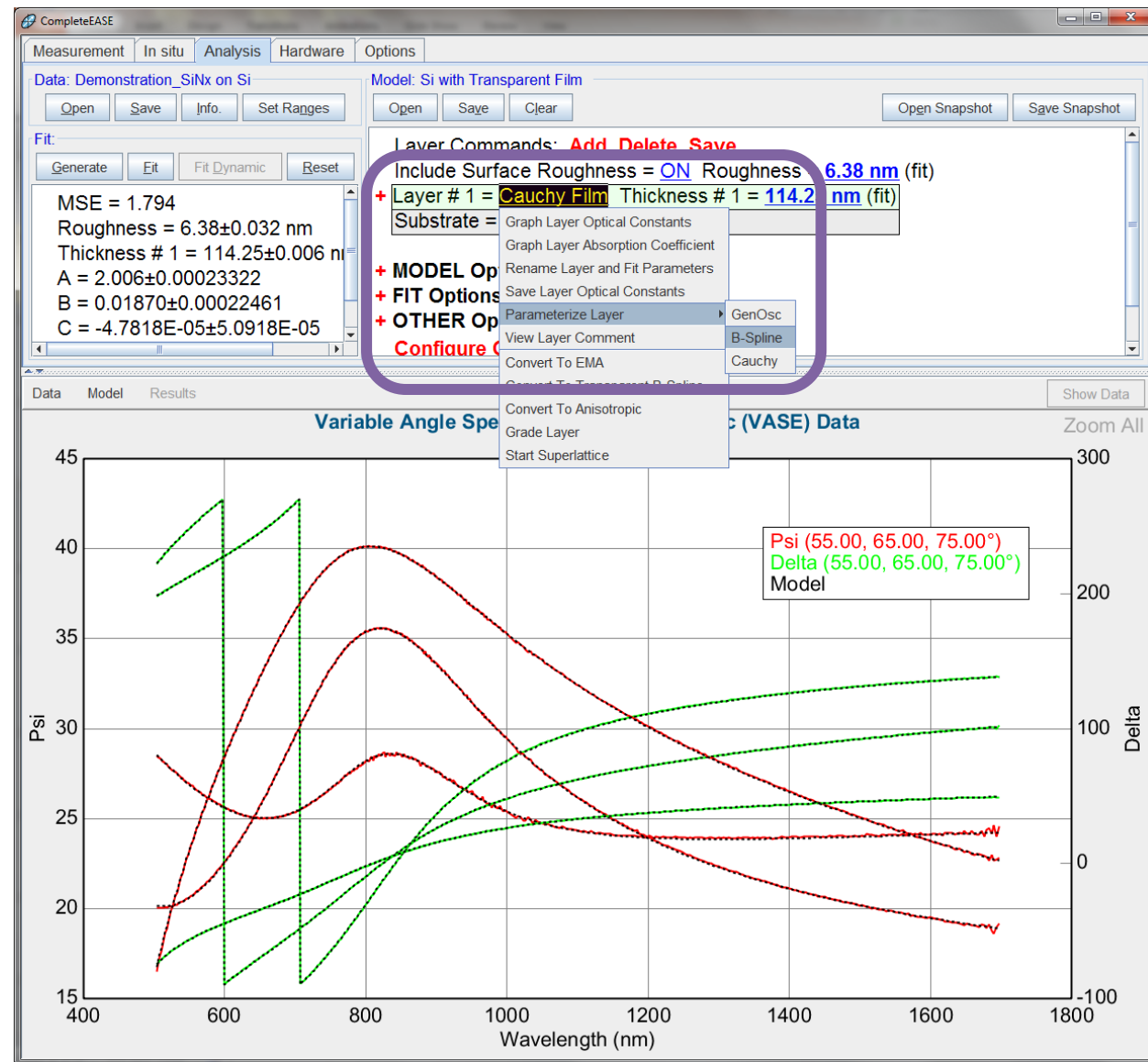


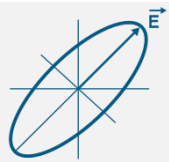
# DEMONSTRATION: SINX ON SI

1 Fit Transparent Region with Cauchy



2 Right-Click on Cauchy:  
"Parameterize Layer → B-Spline"





# DEMONSTRATION: $\text{Si}_x$ ON SI

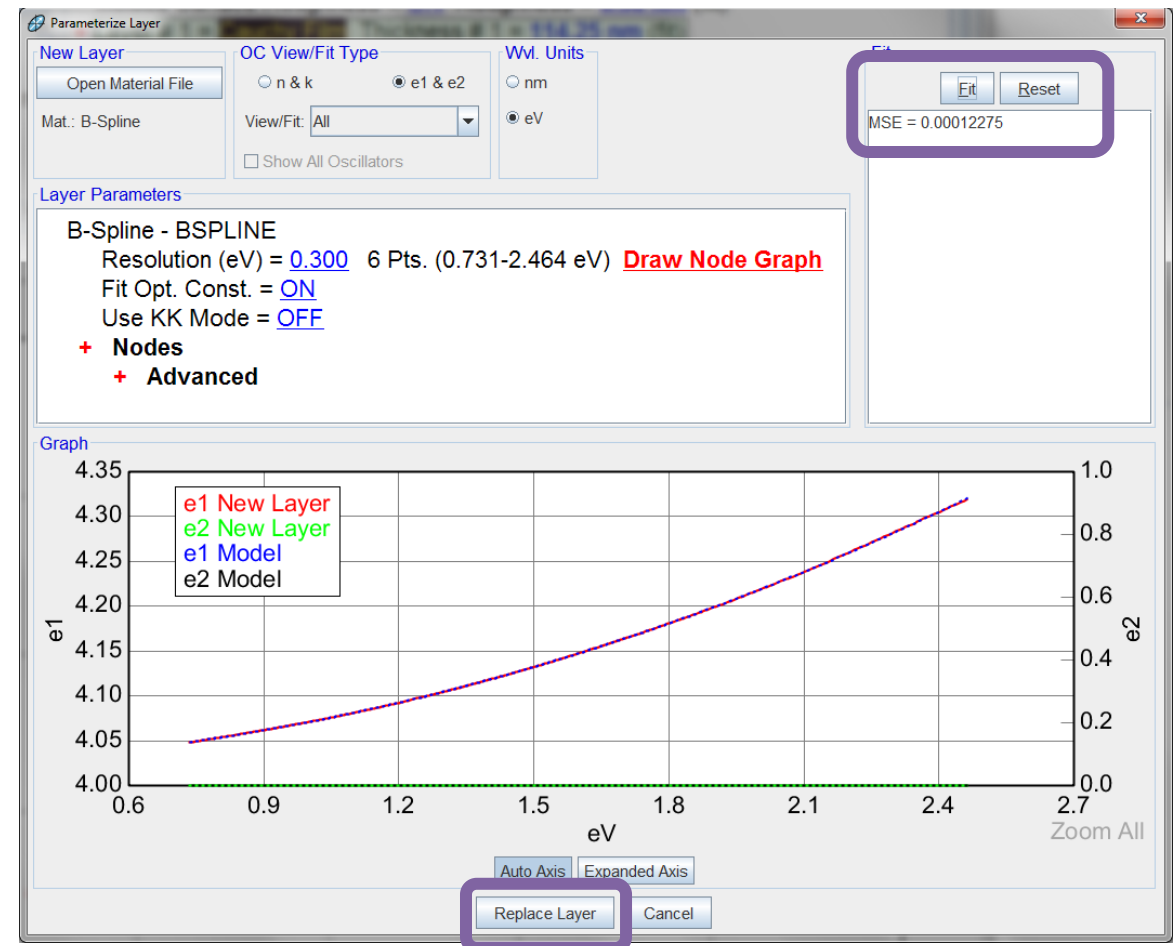
3

Setup B-Spline, Fit to Reference, Replace Layer

Setting up B-spline:

- Choose Node Resolution

Parameterize Layer window is used to match optical constants with new layer



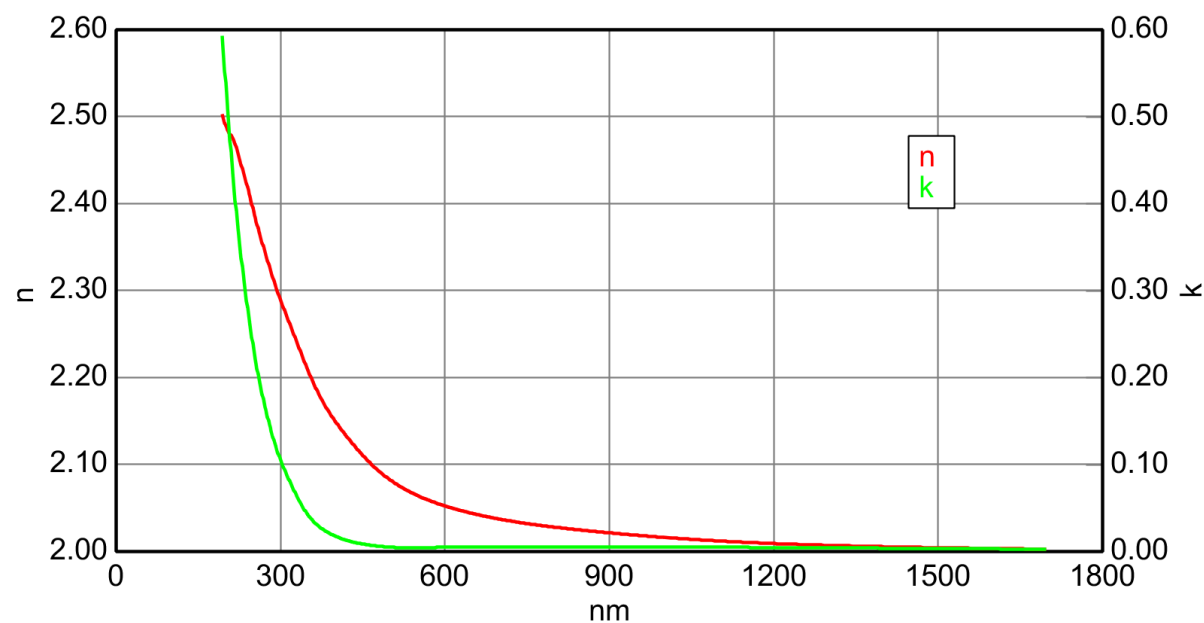
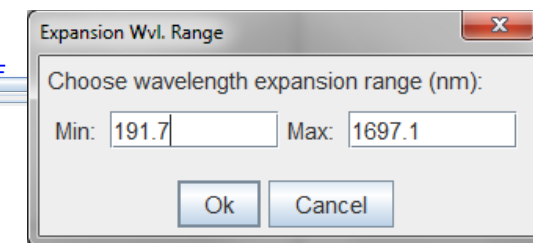
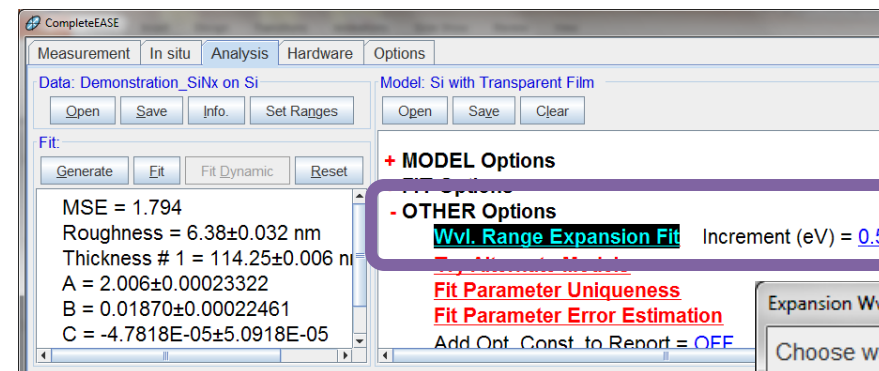
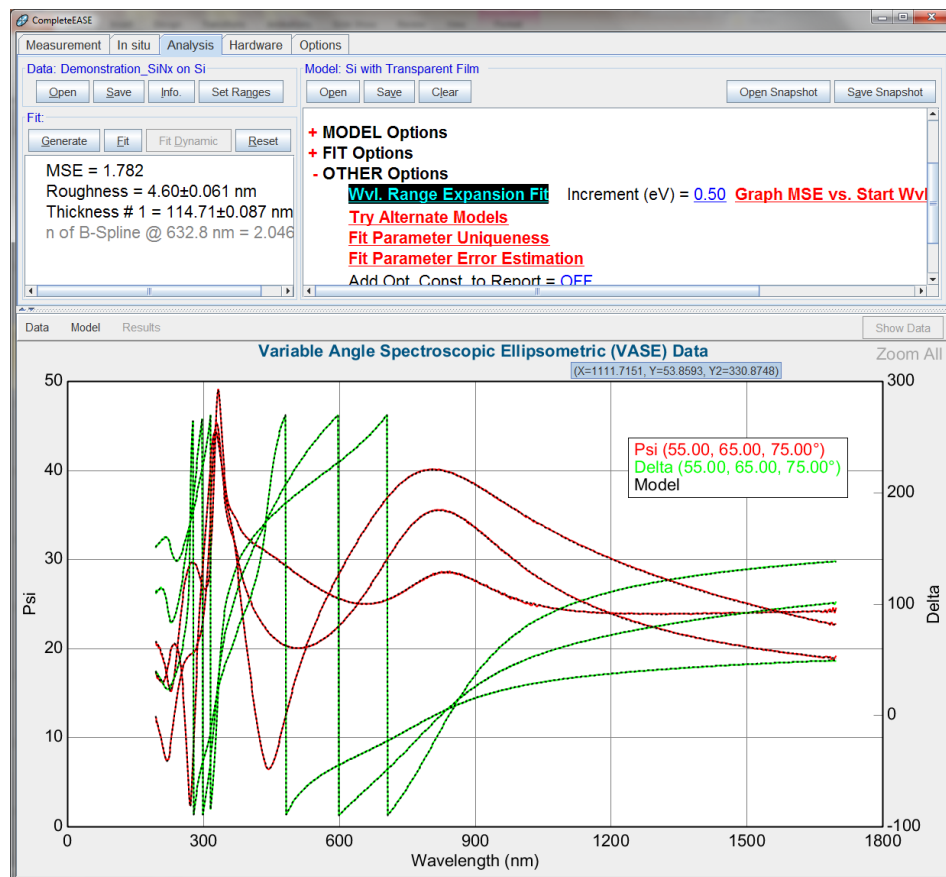


# DEMONSTRATION: $\text{SiN}_x$ ON SI



4

## Wavelength Range Expansion Fit







# WARNING: NODES TOO WIDELY SPACED

- B-Spline requires minimum of **4 Pts.**
- Otherwise it reverts to simple polynomial.

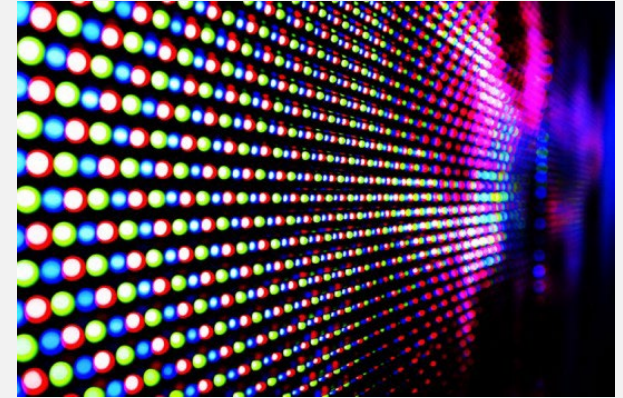




# BREAK!!

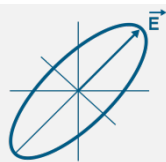


- **Determine SiC optical constants using B-Spline method**  
(starting with Transparent Cauchy)



## QUESTIONS:

- What is your preferred resolution?
- Is roughness required?



# RESULTS



Roughness = 1.31 nm (fit)

- Layer # 1 = B-Spline Thickness # 1 = 591.35 nm (fit)  
Resolution (eV) = 0.300 19 Pts. (0.729-6.200 eV) **Draw Node Graph**

Fit Opt. Const. = ON

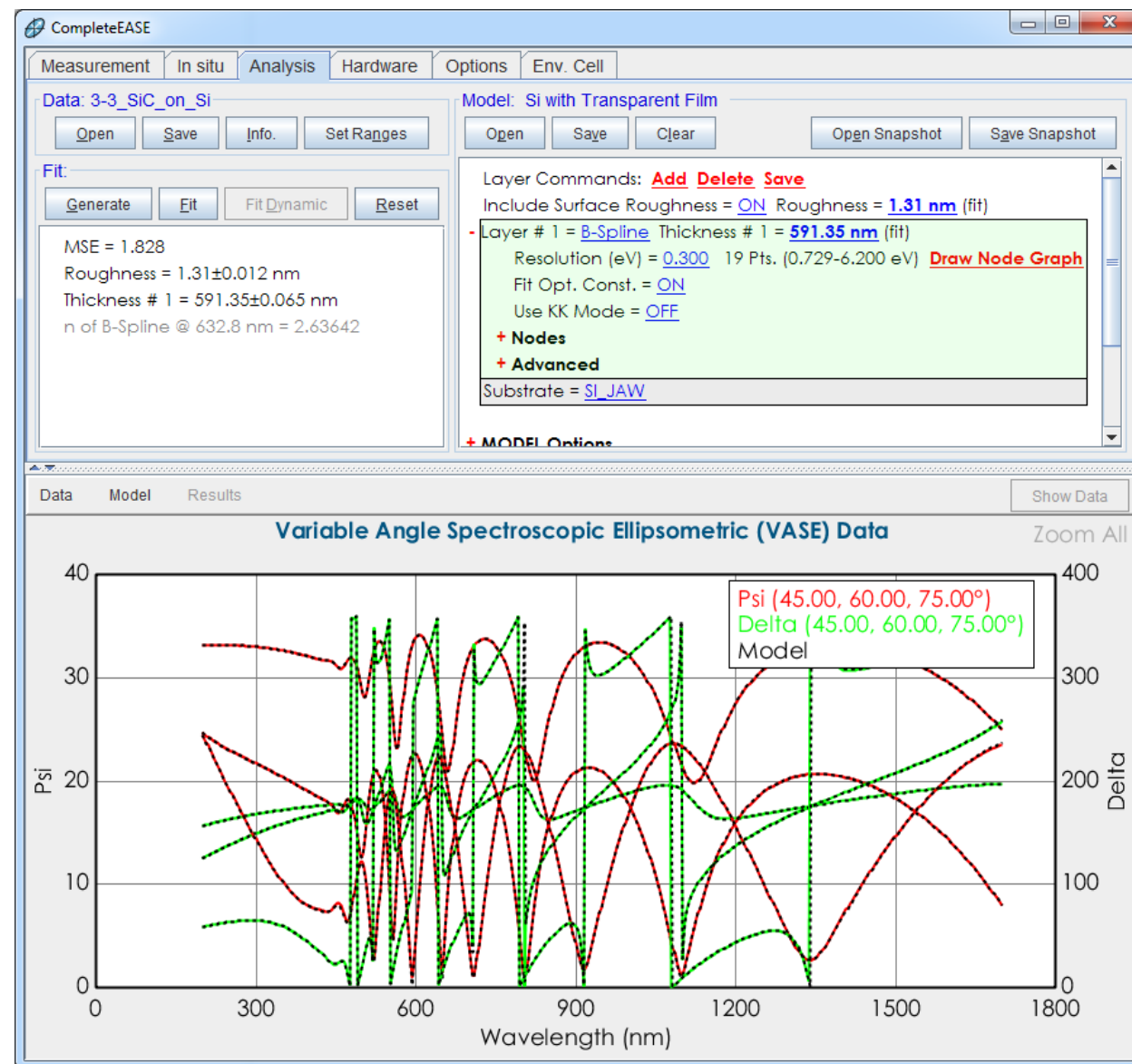
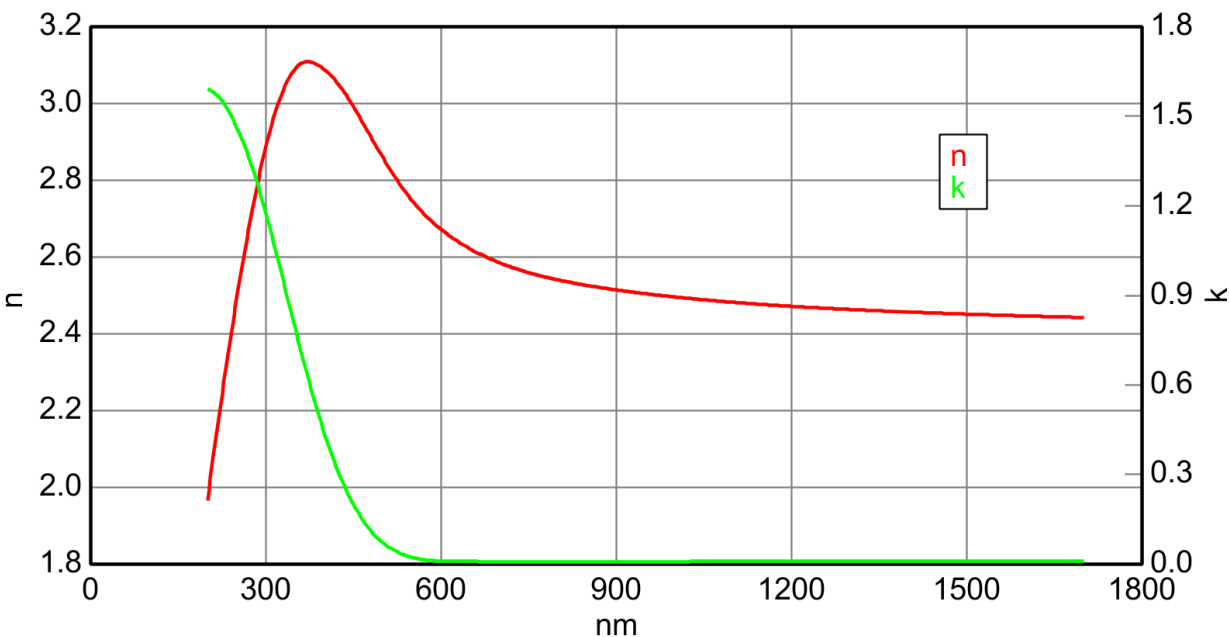
Use KK Mode = OFF

+ **Nodes**

+ **Advanced**

Substrate = SI\_JAW

Opt. Const. of B-Spline vs. nm





# CAUSALITY AND KRAMERS-KRONIG

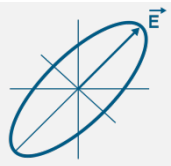
- KK relations consider optical properties as “response” at a given time/place due to “cause” at all other times and places.
- Causality: There can’t be a response before there is a cause.

KK relations give a “Physical” tie between the real and imaginary optical functions.

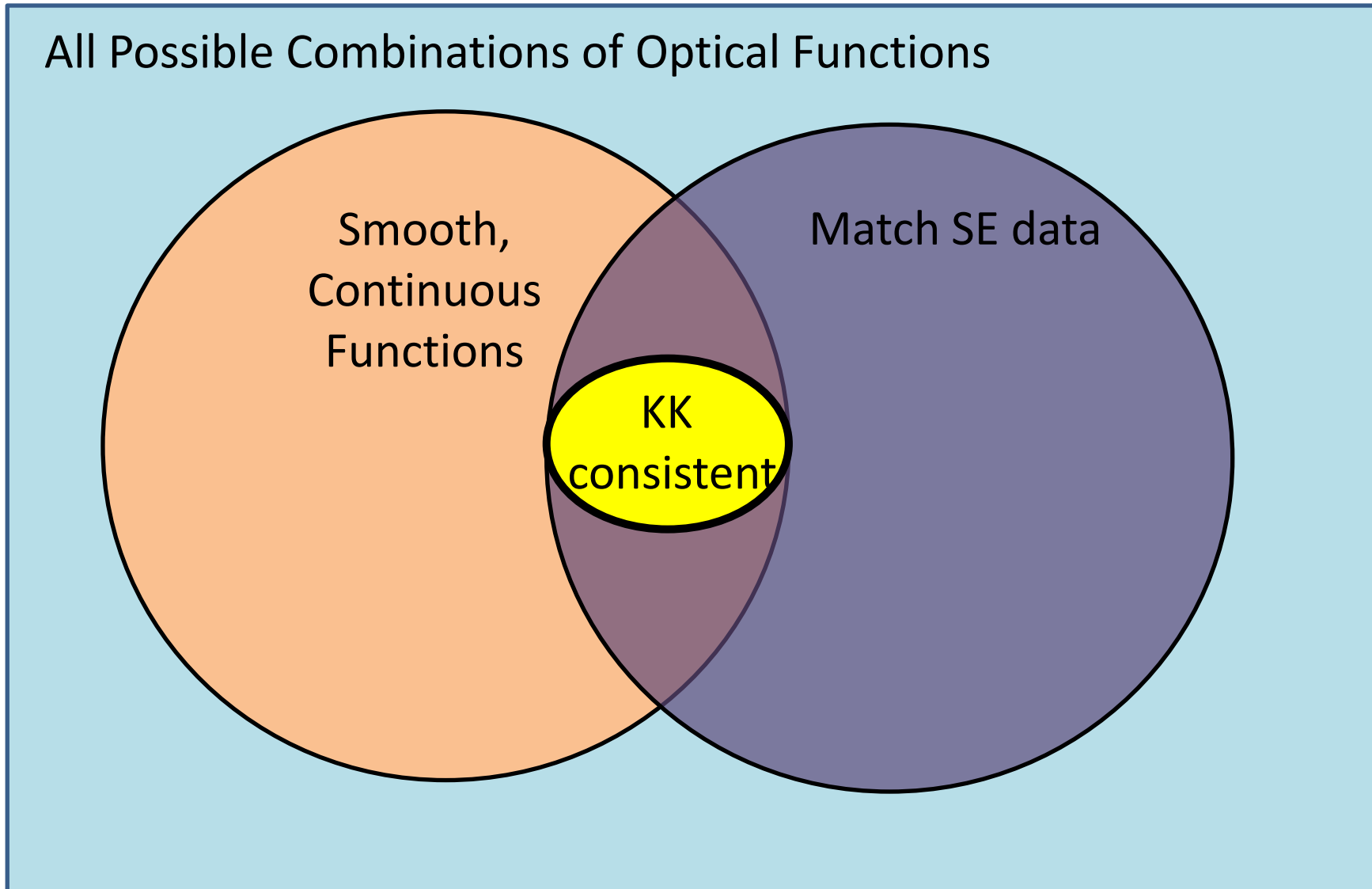
$$\varepsilon_1(\omega) = 1 + \frac{2}{\pi} P \int_0^{\infty} \frac{\omega' \varepsilon_2(\omega')}{\omega'^2 - \omega^2} d\omega'$$

$$\varepsilon_2(\omega) = -\frac{2\omega}{\pi} P \int_0^{\infty} \frac{\varepsilon_1(\omega') - 1}{\omega'^2 - \omega^2} d\omega'$$

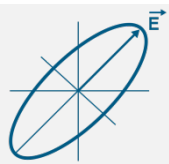
$P$  is “principal part”



# KRAMERS-KRONIG CONSISTENCY



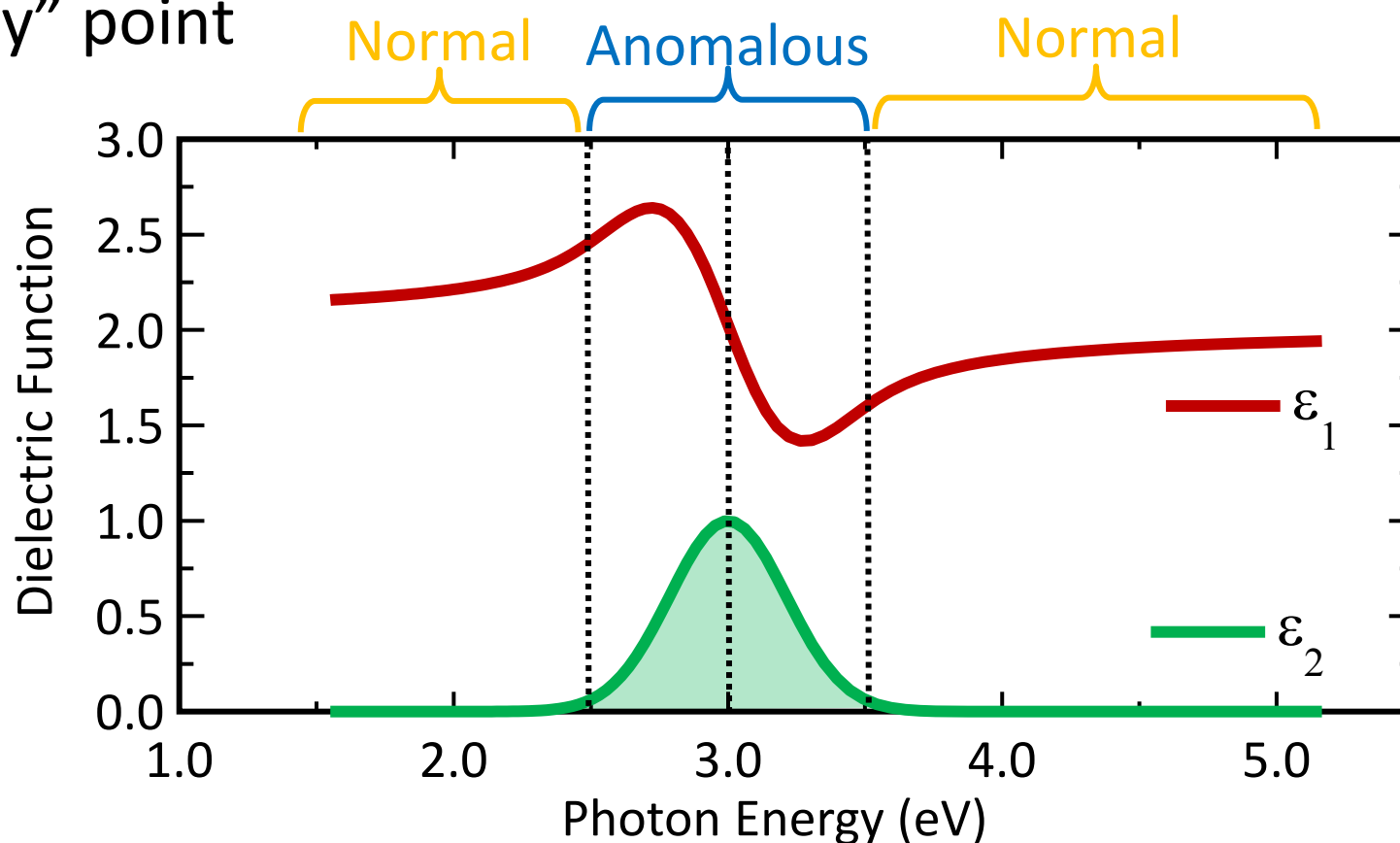
Does not  
guarantee  
correct answer,  
but rules out  
many incorrect  
answers

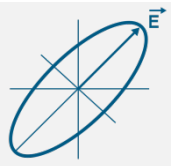


# KK IMPLICATIONS ON OPTICAL FUNCTIONS

- Normal / Anomalous regions
- Integration = area under curve.
- Absorption peak is “half-way” point for downward wiggle.

“Bumps Make Wiggles”





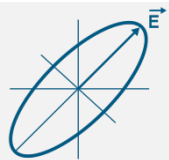
# USING THE KK EQUATION

- We will describe  $\varepsilon_2$  and calculate  $\varepsilon_1$  from KK equation:

$$\varepsilon_1(\omega) = 1 + \frac{2}{\pi} P \int_0^{\infty} \frac{\omega' \varepsilon_2(\omega')}{\omega'^2 - \omega^2} d\omega'$$

- Bumps create Wiggles
  - Every absorption *bump* in  $\varepsilon_2$  is associated with a roll-over *wiggle* in  $\varepsilon_1$
  - Larger *bumps* create larger *wiggles*.
- All Frequencies
  - The calculation of  $\varepsilon_1$  depends on the values of  $\varepsilon_2$  at ALL Frequencies.





# KRAMERS-KRONIG B-SPLINE

- Substrate = B-Spline

Resolution (eV) = 0.300 19 Pts. (0.729-6.200 eV) Draw Node Graph

Fit Opt. Const. = ON

Use KK Mode = ON (In Use)

- **Kramers-Kronig**

E Inf = 1.250 (fit)

IR Amp = 0.00 (fit) IR Br = 0.000

Use Default TieOff Behavior = ON

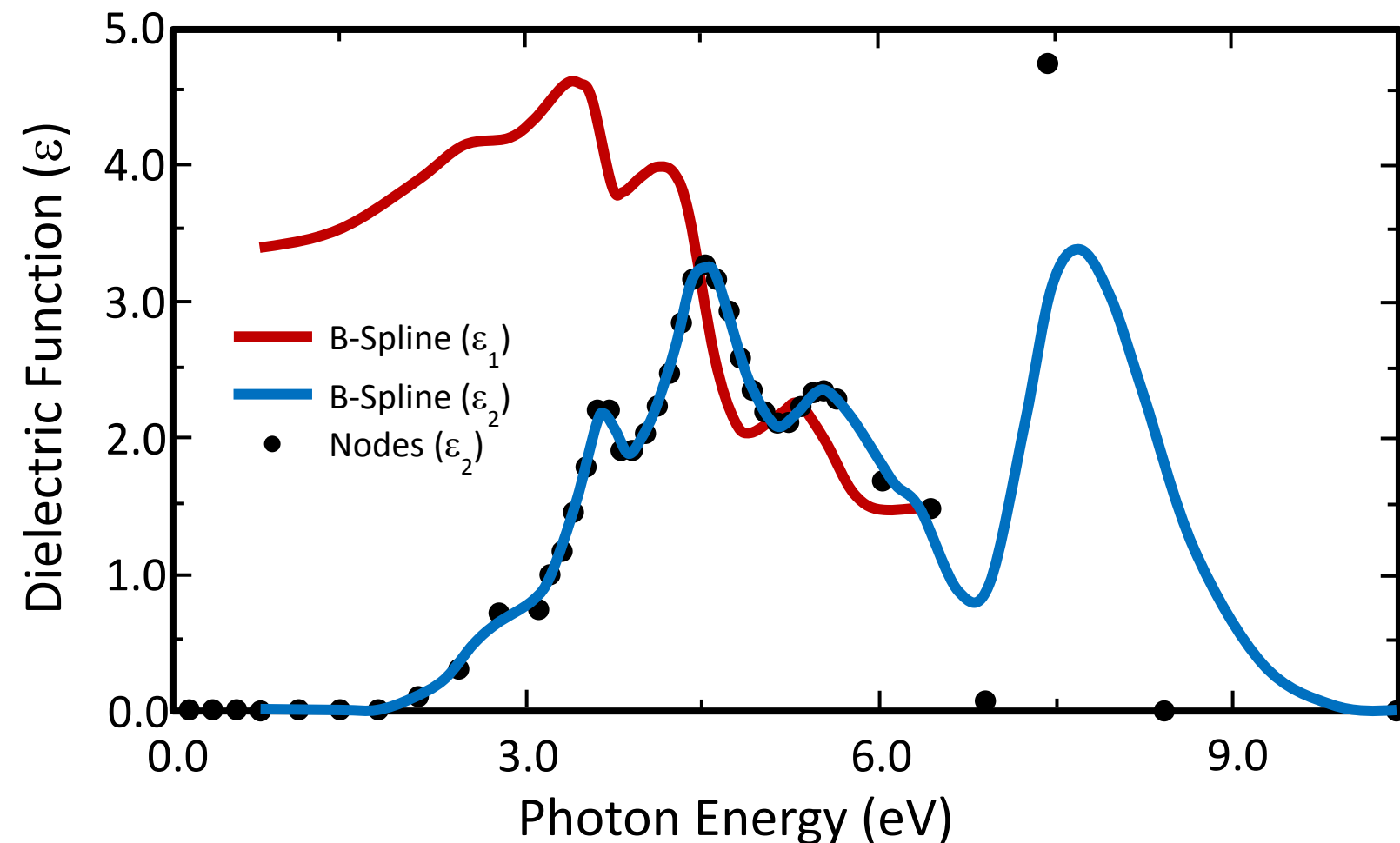
View Tie Off Positions = OFF

+ **Nodes**

+ **Advanced**



# KRAMERS-KRONIG B-SPLINE



■ B-Spline function for  $\epsilon_2$

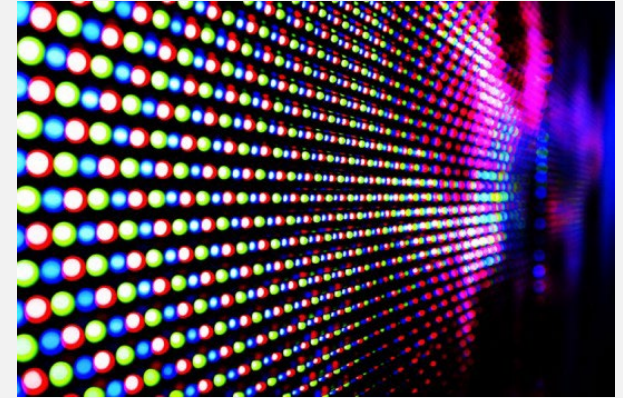
■ KK integral used for  $\epsilon_1$

To account for ALL frequencies:

- **Einf** (constant offset)
- **IR Amp** and **IR Br**  
(low-eV contribution)
- **Tie-Offs**  
(absorption outside measured range)

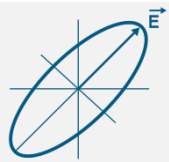
## [ 3 ] 3-3\_SiC\_on\_Si.SE (REVISIT)

- **Determine SiC optical constants using B-Spline method**  
(this time use KK mode)



### QUESTIONS:

- Does surface roughness help?
- Does grading help?
- Does anisotropy help?
- Did you try any other alternate models?



# MORE B-SPLINE FEATURES: NODES

Roughness = 1.33 nm (fit)

- Layer # 1 = B-Spline Thickness # 1 = 591.51 nm (fit)  
Resolution (eV) = 0.150 39 Pts. (0.731-6.451 eV) Draw Node Graph

Fit Opt. Const. = ON

Use KK Mode = OFF

## - Nodes

Init. values: n = 1.500 k = 0.00 Starting Mat = Cauchy Film

Force E2 Positive = OFF

Assume Transparent Region = OFF

Show Nodes = OFF

Node Spacing Spectral Ranges: Add Delete Delete All

## + Advanced

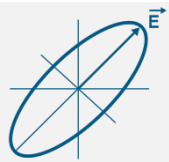
Substrate = SI\_JAW

Assume Transparent Region = ON

Assume Band Gap = ON Band Gap (eV) = 1.200

Assume Transparent Region = ON

Assume Band Gap = OFF Transparent Region = 500.0 nm - 10000.0 nm



# CONVERT TO TRANSPARENT B-SPLINE

Fit Transparent Region using  
Cauchy Procedure

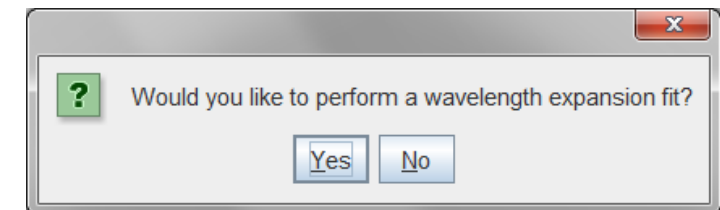
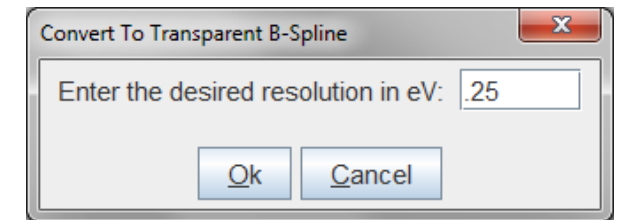
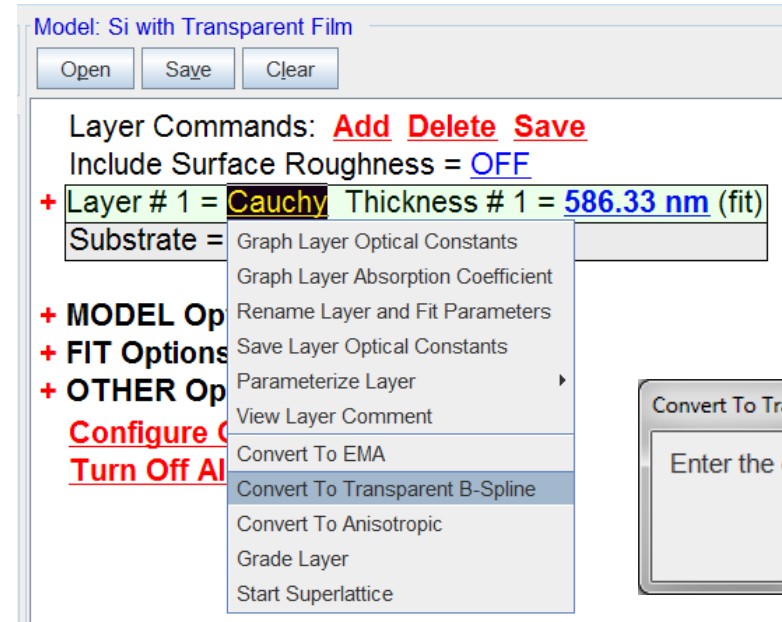


Right-Click on Cauchy →  
“Convert to Transparent B-Spline”

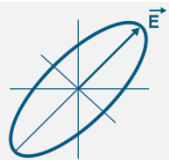
- Enter Node Resolution
- Wavelength-Expansion Fit



Optimize Node Resolution, Roughness,  
Grading,...



- Forces e2 positive, uses KK mode, sets Cauchy range to be transparent



# BREAKDOWN OF 'CONVERT TO TRANSPARENT B-SPLINE'

Changes layer type to B-Spline using desired resolution in eV



Sets Cauchy wavelength range to be transparent range



Turns on KK mode



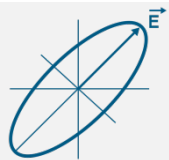
Forces e2 positive



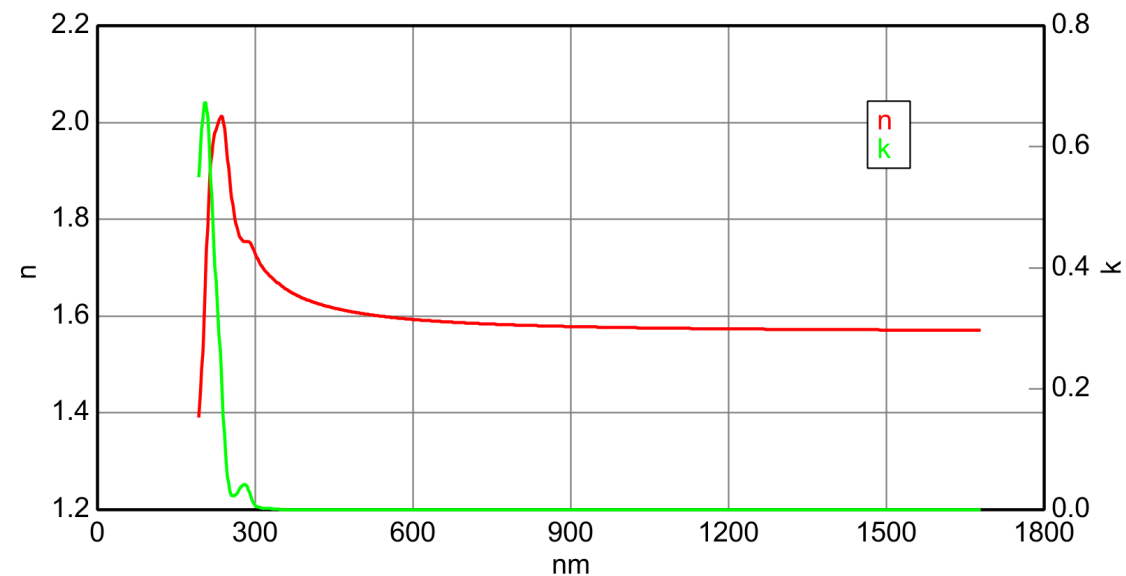
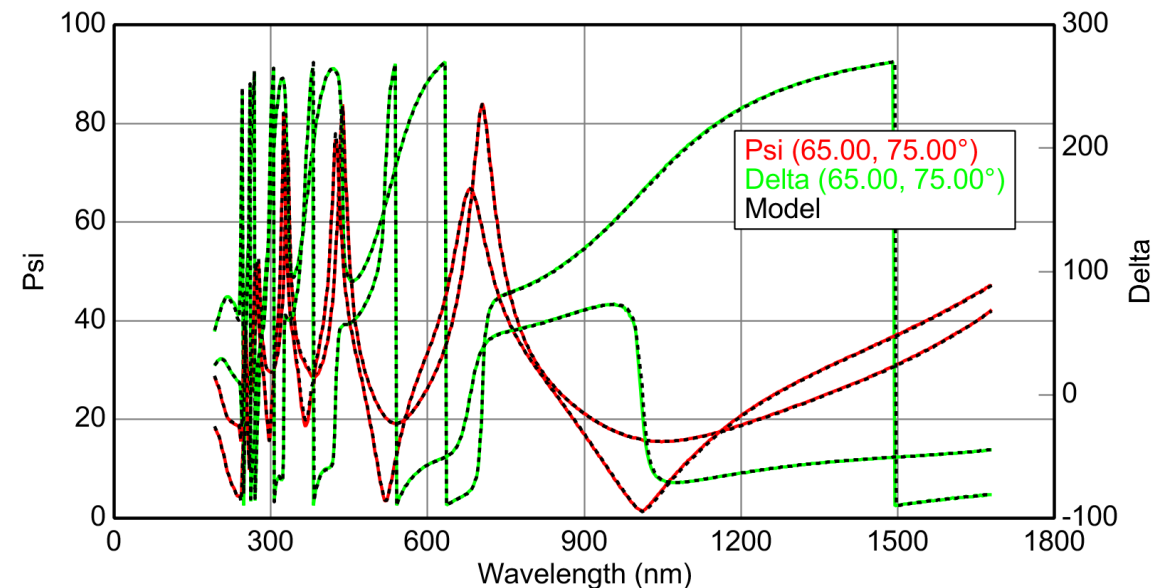
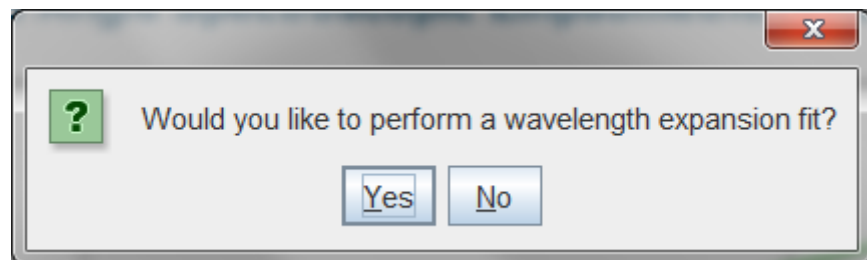
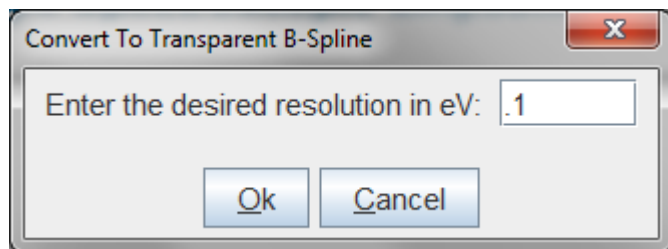
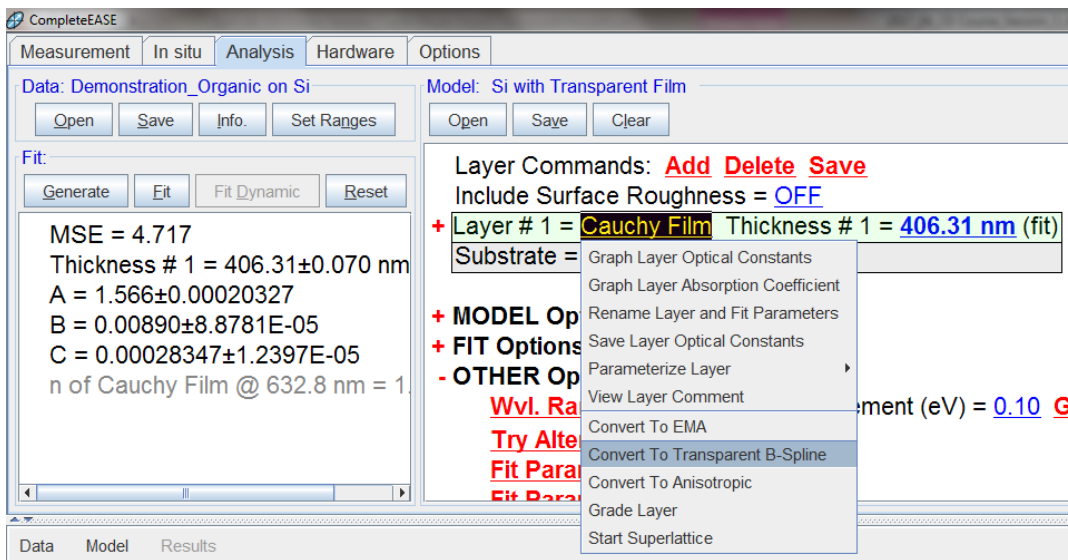
Performs Wavelength Range Expansion Fit

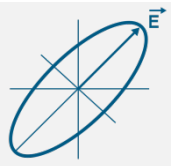


Reevaluates tie-off on the UV side



# DEMONSTRATION: ORGANIC ON SI





# SIMPLIFIED B-SPLINE PROCEDURE

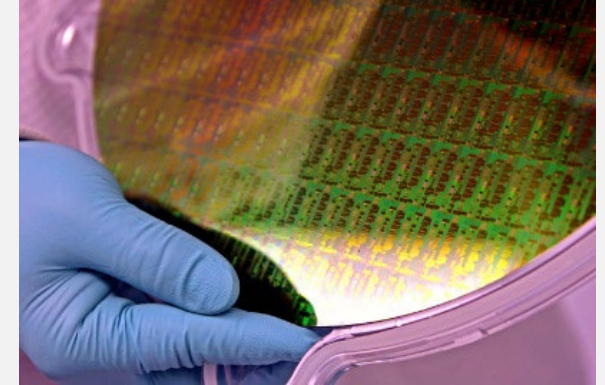
## Si with Transparent Film → Transparent B-spline

- 1 **Fit Transparent Region with built-in Si with Transparent Film Model**
- 2 **Right-Click on Cauchy Film → Convert To Transparent B-Spline**
- 3 **Enter resolution in eV**
- 4 **Click Yes to perform Wavelength Range Expansion Fit**
- 5 **Optimize Node Resolution, Roughness, Grading,...**



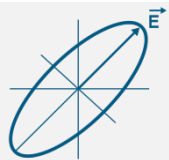
## [ 4 ] 3-4\_Photoresist\_on\_Si.SE

- **Determine optical constants using B-Spline method**  
(starting with Transparent Cauchy)



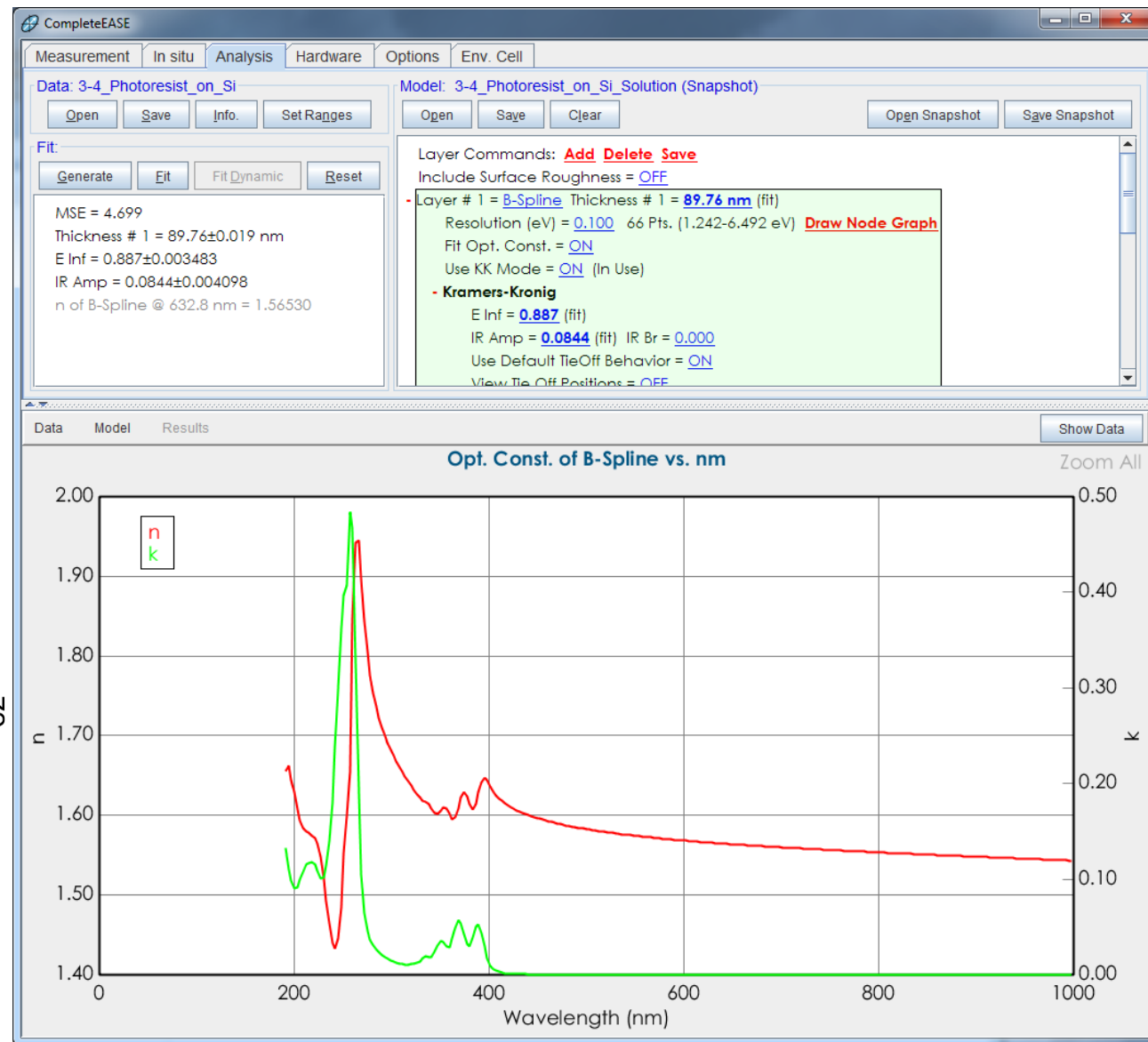
### QUESTIONS:

- What is preferred node resolution?
- Transparent region?

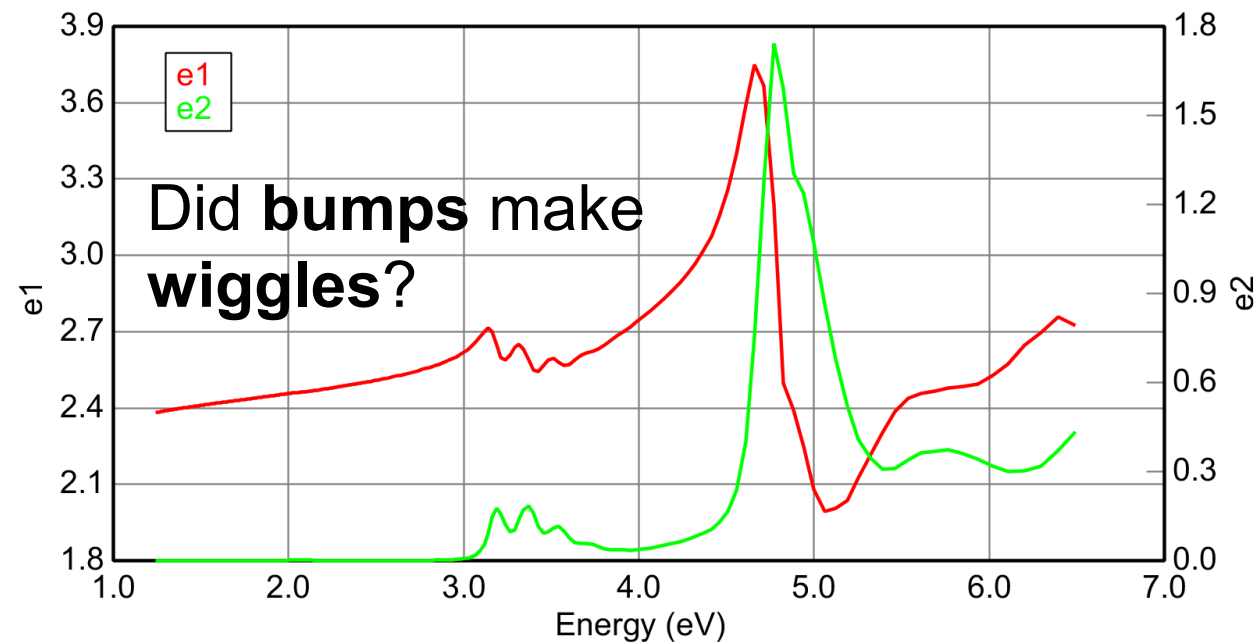


- Layer # 1 = [B-Spline](#) Thickness # 1 = [89.76 nm](#) (fit)  
 Resolution (eV) = [0.100](#) 66 Pts. (1.242-6.492 eV) [Draw Node Graph](#)  
 Fit Opt. Const. = [ON](#)  
 Use KK Mode = [ON](#) (In Use)  
 - **Kramers-Kronig**  
 E Inf = [0.887](#) (fit)  
 IR Amp = [0.0844](#) (fit) IR Br = [0.000](#)  
 Use Default TieOff Behavior = [ON](#)  
 View Tie Off Positions = [OFF](#)  
 - **Nodes**  
 Init. values: n = [1.500](#) k = [0.00](#) Starting Mat = [Cauchy Film](#)  
 Force E2 Positive = [ON](#)  
 Assume Transparent Region = [ON](#)  
 Assume Band Gap = [ON](#) Band Gap (eV) = [2.800](#)  
 Show Nodes = [OFF](#)  
 Node Spacing Spectral Ranges: [Add](#) [Delete](#) [Delete All](#)  
 Range = [330.0 nm - 420.0 nm](#) Resolution (eV) = [0.0500](#)  
 Range = [243.1 nm - 275.6 nm](#) Resolution (eV) = [0.0500](#)  
 + **Advanced**  
 Substrate = [SI\\_JAW](#)

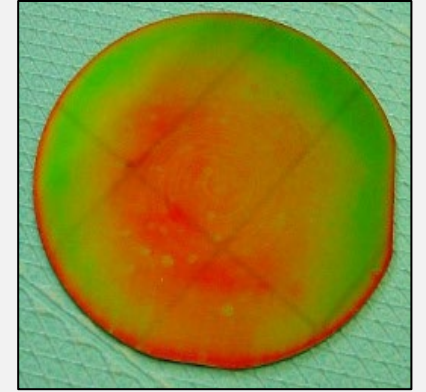
# RESULTS



Opt. Const. of B-Spline vs. eV

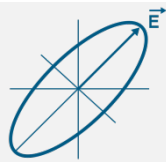


- **Determine optical constants using the Simplified B-Spline Procedure**



## QUESTIONS:

- What is preferred node resolution?



# RESULTS



CompleteEASE

Measurement In situ Analysis Hardware Options

Data: 3-5\_Organic\_on\_Si (Snapshot)

Open Save Info. Set Ranges

Fit:

Generate Fit Fit Dynamic Reset

MSE = 3.492  
Thickness # 1 = 126.62±0.009 nm  
E Inf = 0.808±0.006016  
IR Amp = 0.0542±0.001025  
n of B-Spline @ 632.8 nm = 1.66172

Model: 3-5\_Organic\_on\_Si\_Solution (Snapshot)

Open Save Clear

Open Snapshot Save Snapshot

Layer Commands: [Add](#) [Delete](#) [Save](#)

Include Surface Roughness = [OFF](#)

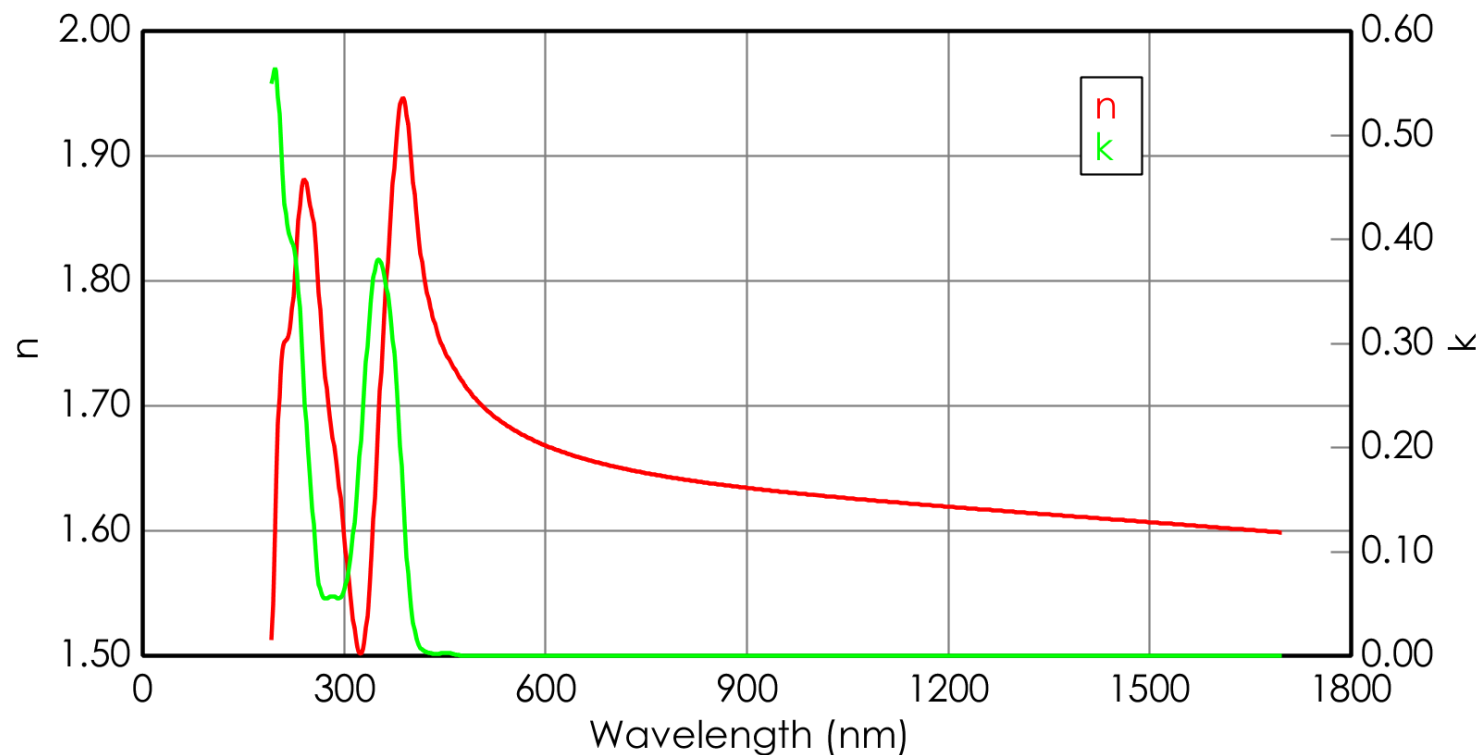
- Layer # 1 = [B-Spline](#) Thickness # 1 = [126.62 nm](#) (fit)  
Resolution (eV) = [0.100](#) 58 Pts. (0.731-6.451 eV) [Draw Node Graph](#)  
Fit Opt. Const. = [ON](#)  
Use KK Mode = [ON](#) (In Use)
- **Kramers-Kronig**  
E Inf = [0.808](#) (fit)  
IR Amp = [0.0542](#) (fit) IR Br = [0.000](#)  
Use Default TieOff Behavior = [OFF](#)  
View Tie Off Positions = [OFF](#)
- **Nodes**  
Init. values: n = [1.500](#) k = [0.00](#) Starting Mat = [Cauchy Film](#)  
Force E2 Positive = [ON](#)  
Assume Transparent Region = [ON](#)  
Assume Band Gap = [ON](#) Band Gap (eV) = [2.721](#)  
Show Nodes = [OFF](#)  
Node Spacing Spectral Ranges: [Add](#) [Delete](#) [Delete All](#)
- + **Advanced**  
Substrate = [SI\\_JAW](#)



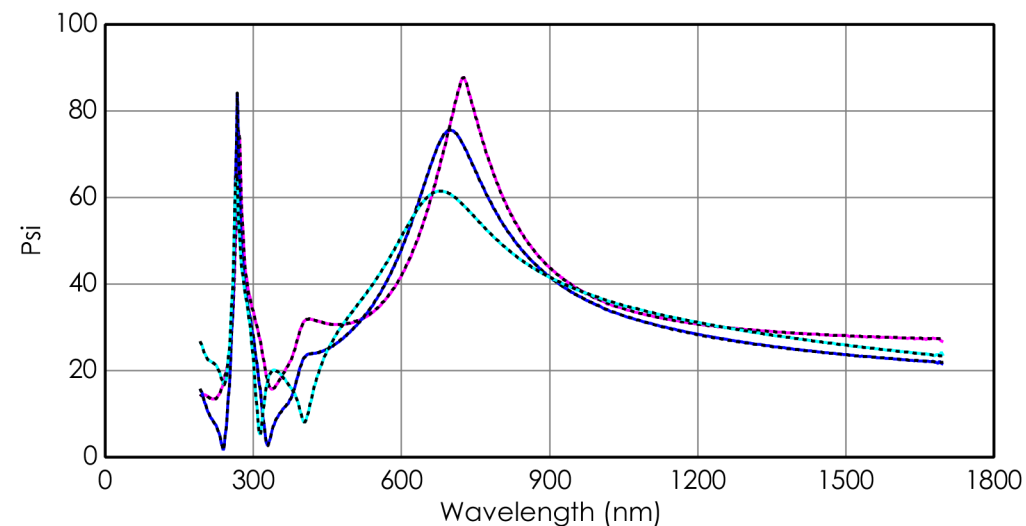
# RESULTS – CONTINUED



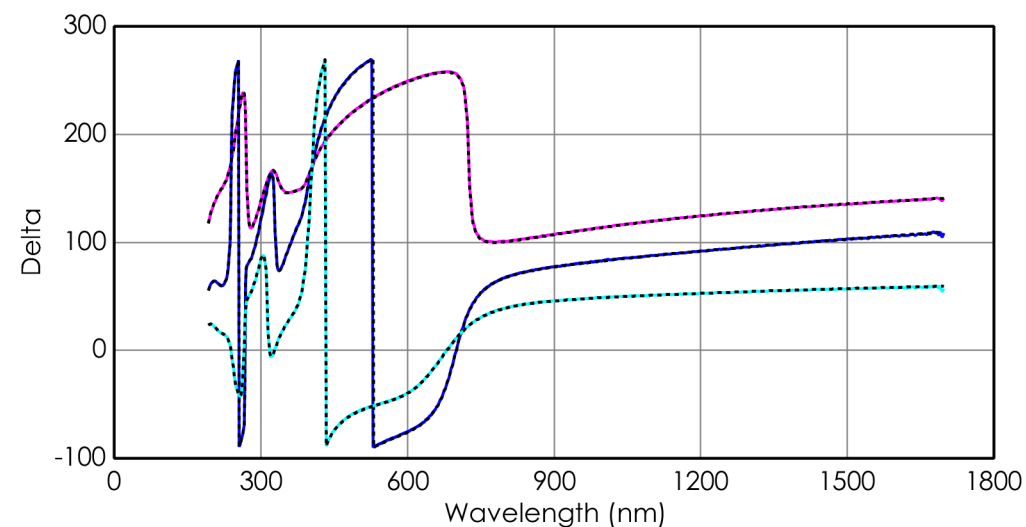
Opt. Const. of B-Spline vs. nm



Variable Angle Spectroscopic Ellipsometric (VASE) Data



Variable Angle Spectroscopic Ellipsometric (VASE) Data



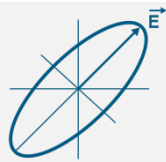
## [ 6 ] 3-6\_Dye\_on\_Glass.SE

- Determine optical constants using Simplified B-Spline method
- Use “Glass\_Substrate\_3-6.mat”



### QUESTIONS:

- What is preferred node resolution?
- Is your result correct?



# RESULTS



CompleteEASE

Measurement In situ Analysis Hardware Options

Data: 3-6\_Dye\_on\_Glass (Snapshot)

Open Save Info. Set Ranges

Fit:

Generate Fit Fit Dynamic Reset

MSE = 3.544  
Thickness # 1 = 827.08±0.135 nm  
E Inf = 0.889±0.006680  
IR Amp = 0.0121±0.00080513  
n of B-Spline @ 632.8 nm = 1.58096

Model: 3-6\_Dye\_on\_Glass\_Solution (Snapshot)

Open Save Clear

Open Snapshot Save Snapshot

Layer Commands: [Add](#) [Delete](#) [Save](#)

Include Surface Roughness = [OFF](#)

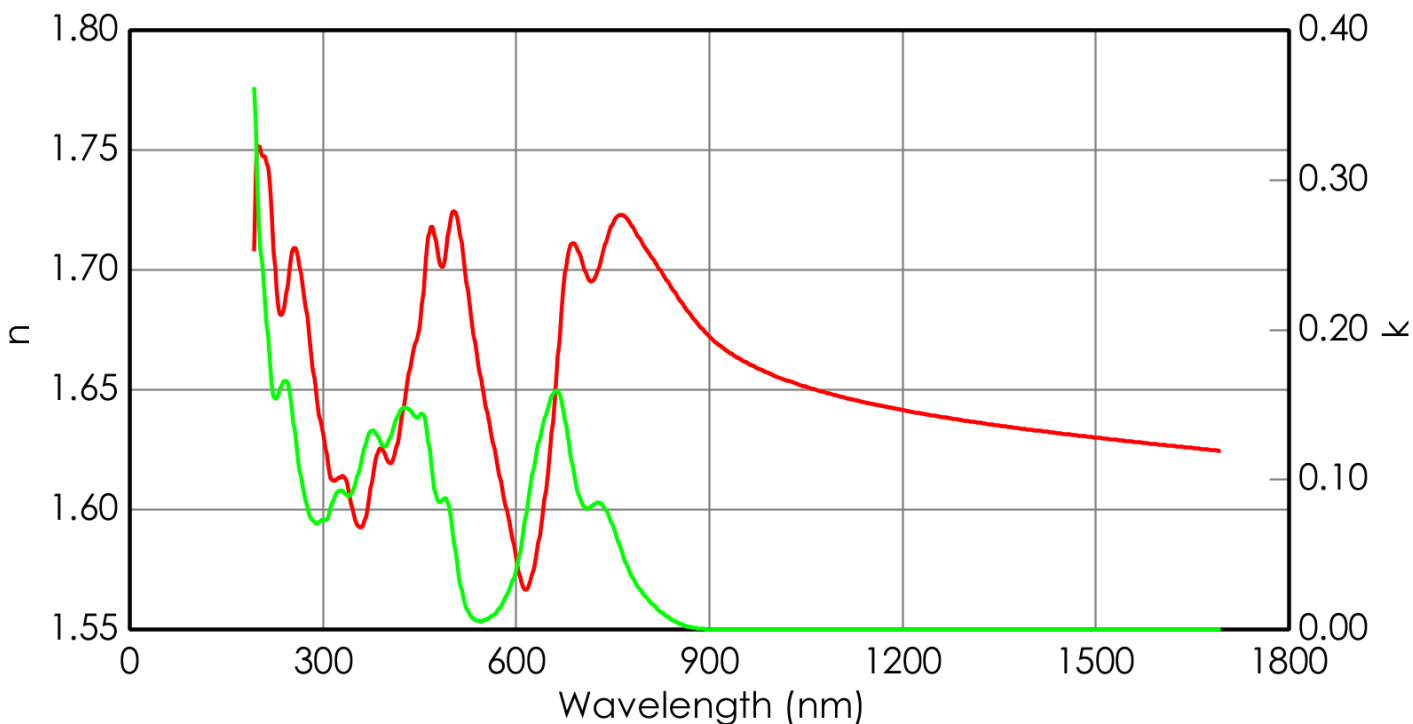
- Layer # 1 = [B-Spline](#) Thickness # 1 = [827.08 nm](#) (fit)  
Resolution (eV) = [0.100](#) 69 Pts. (0.732-6.405 eV) [Draw Node Graph](#)  
Fit Opt. Const. = [ON](#)  
Use KK Mode = [ON](#) (In Use)
- **Kramers-Kronig**  
E Inf = [0.889](#) (fit)  
IR Amp = [0.0121](#) (fit) IR Br = [0.000](#)  
Use Default TieOff Behavior = [OFF](#)  
View Tie Off Positions = [OFF](#)
- **Nodes**  
Init. values: n = [1.500](#) k = [0.00](#) Starting Mat = [Cauchy Film](#)  
Force E2 Positive = [ON](#)  
Assume Transparent Region = [ON](#)  
Assume Band Gap = [ON](#) Band Gap (eV) = [1.369](#)  
Show Nodes = [OFF](#)  
Node Spacing Spectral Ranges: [Add](#) [Delete](#) [Delete All](#)  
Range = [440.0 nm - 800.0 nm](#) Resolution (eV) = [0.0500](#)
- + **Advanced**  
Substrate = [Glass Substrate\\_3-6](#)



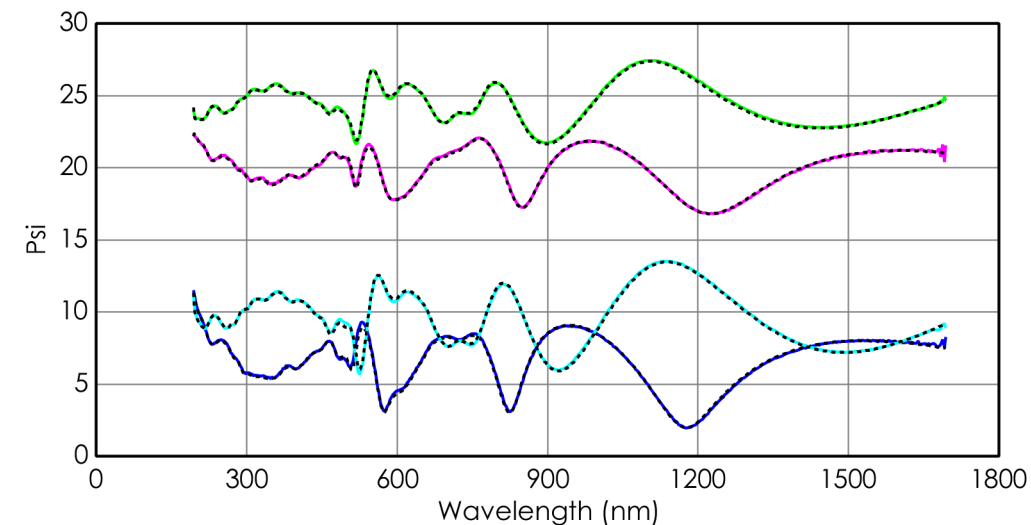
# RESULTS – CONTINUED



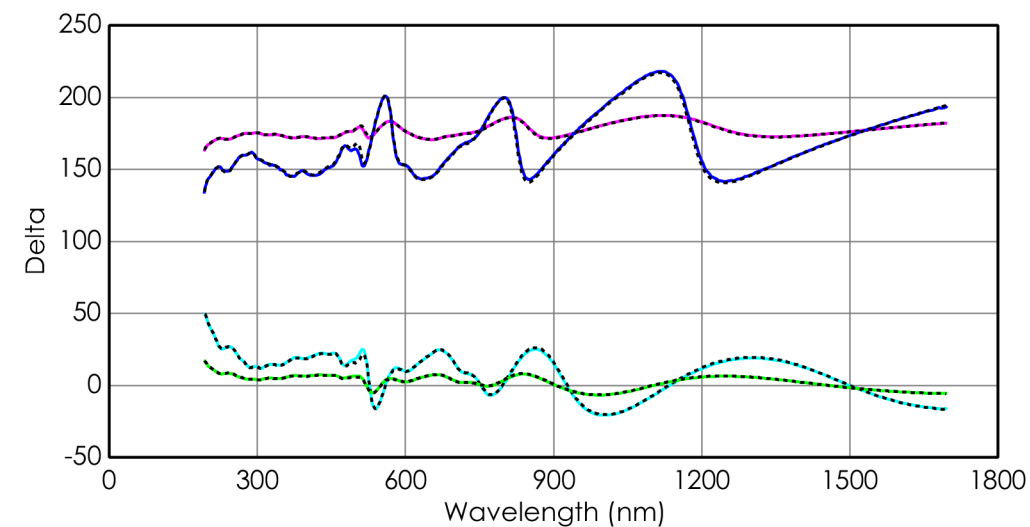
Opt. Const. of B-Spline vs. nm



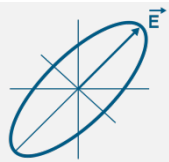
Variable Angle Spectroscopic Ellipsometric (VASE) Data



Variable Angle Spectroscopic Ellipsometric (VASE) Data







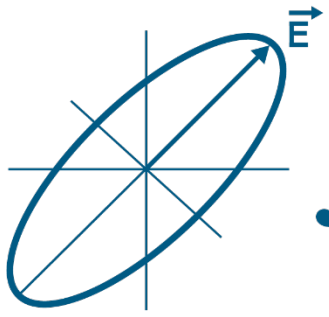
# SESSION 3 SUMMARY

- Use B-spline Layer for:
  - Metal Films
  - UV Absorbing Films
- KK B-Spline helps ensure “physical” results
- Cauchy Fit → “Convert to KK B-spline”



# THANK YOU AND ENJOY YOUR LUNCH!





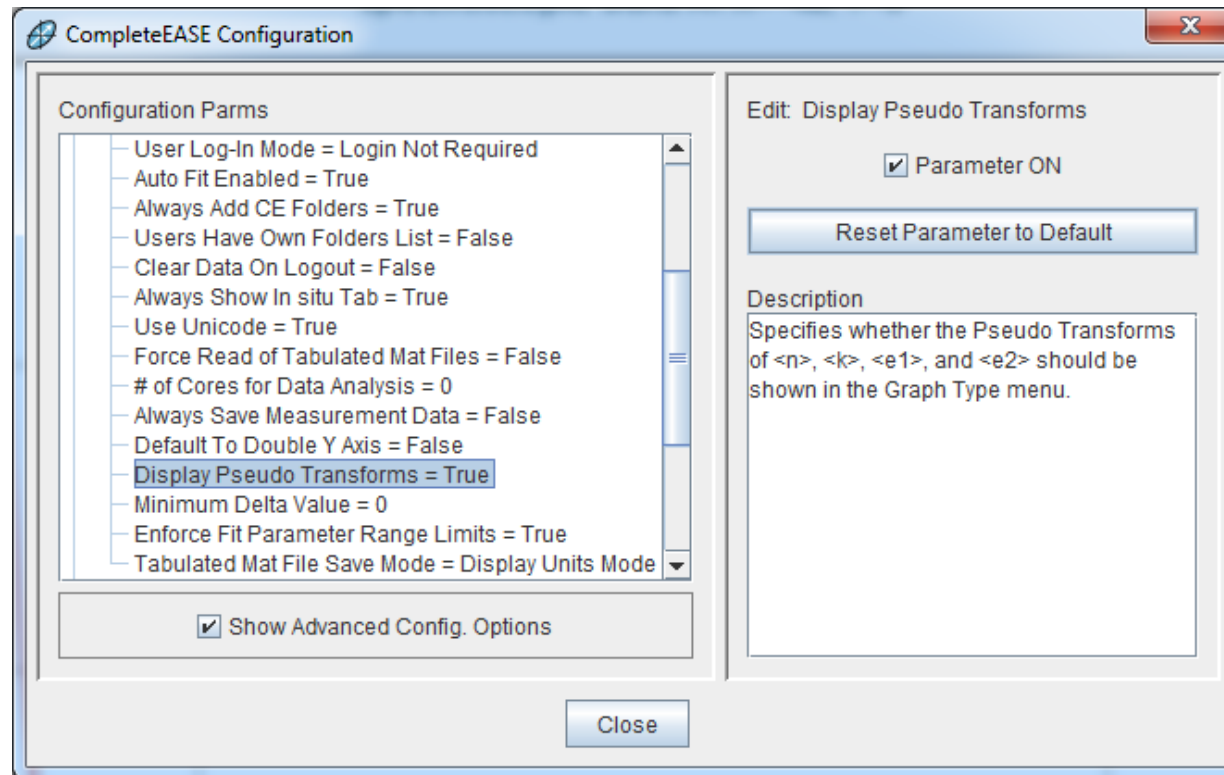
J.A. Woollam

**ADDITIONAL SLIDES BEYOND THIS POINT**



# ENABLING <PSEUDO> TRANSFORMS

- In CompleteEASE 6.42 and newer, the menu option for <Pseudo> Transforms is hidden by default.
- Go to CompleteEASE Configuration > General then change Display Pseudo Transforms = True (\*Show advanced must be checked)





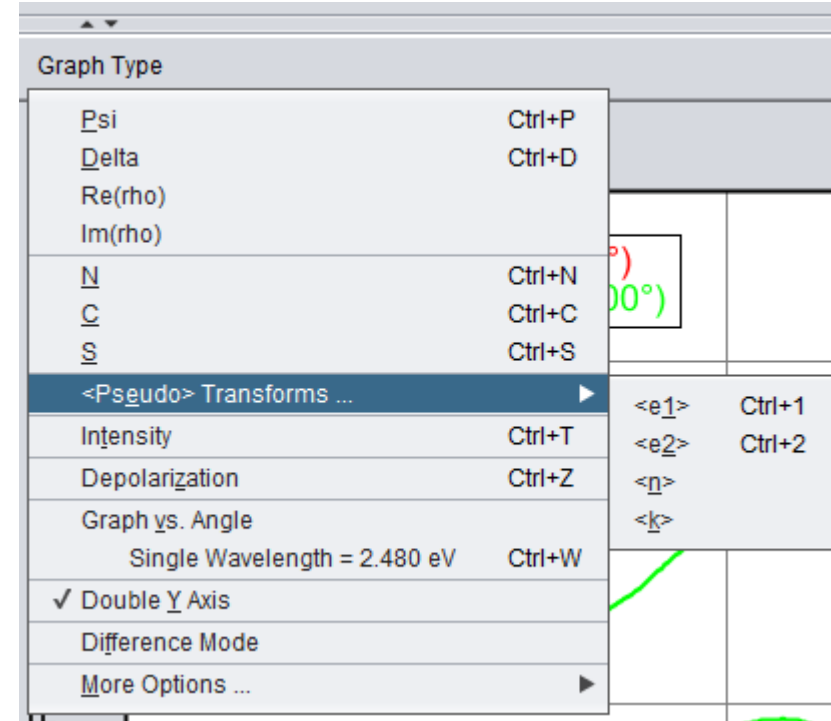
# METHOD: DIRECT CONVERSION

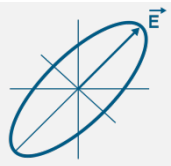
- For single reflection from surface, data can be directly “inverted” to get n,k.

Pseudo Optical Constants  
<n>&<k>, <e1>&<e2>

$$\langle \tilde{n} \rangle^2 = \sin^2(\phi) \cdot \left[ 1 + \tan^2(\phi) \cdot \left( \frac{1 - \rho}{1 + \rho} \right)^2 \right]$$

$$\text{where, } \rho = \tan(\Psi) e^{i\Delta} = \frac{\tilde{r}_p}{\tilde{r}_s}$$

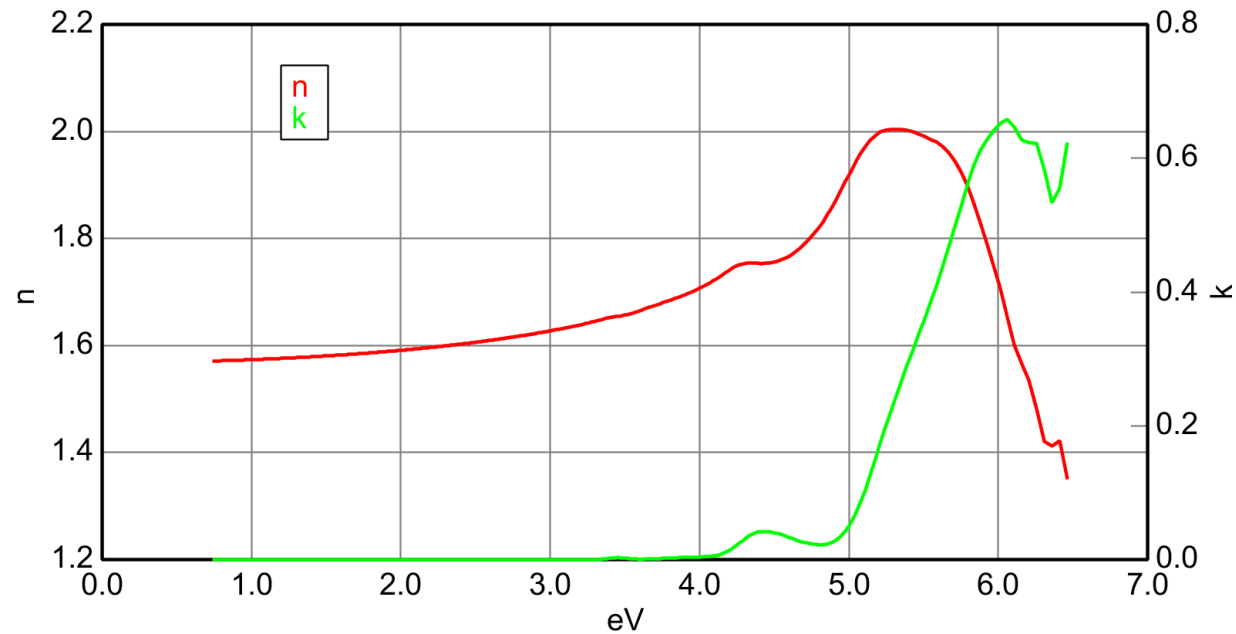




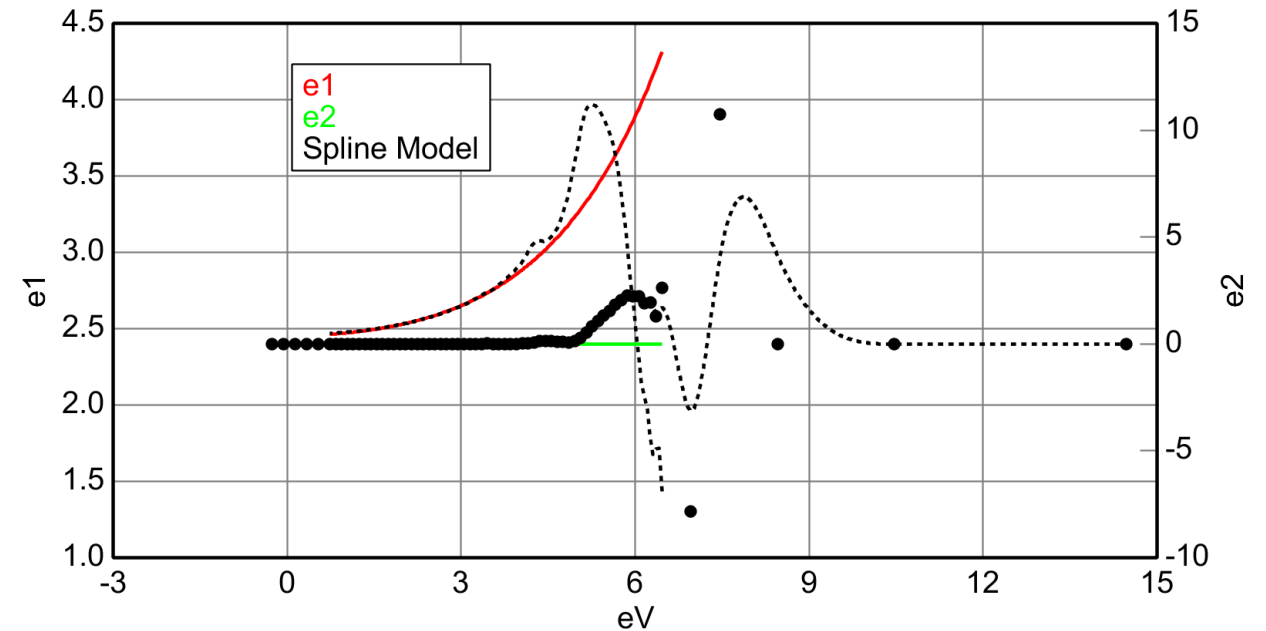
# ADVANCED: FITTING TIE OFF NODES (1)

- Open “Demonstration\_Organic on Si.SESnap

Opt. Const. of B-Spline vs. eV



Spline Opt. Const. vs. eV



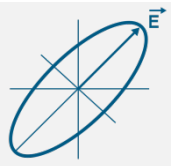


# ADVANCED: FITTING TIE OFF NODES (2)

## ■ Expand “Kramers-Kronig” section of B-Spline:

- Use Default Tie Off Behavior = OFF
- View Tie Off Positions = ON
- Fit “Tie Off n+1” amount  
(Default = 0.5eV)

```
- Layer # 1 = B-Spline Thickness # 1 = 406.22 nm (fit)
  Resolution (eV) = 0.100 58 Pts. (0.738-6.464 eV) Draw Node Graph
  Fit Opt. Const. = ON
  Use KK Mode = ON (In Use)
- Kramers-Kronig
  E Inf = 0.627 (fit)
  IR Amp = 0.00 IR Br = 0.000
  Use Default TieOff Behavior = OFF
  View Tie Off Positions = ON
  Tie Off 0 {TieOff(1)-E} = 0.2000
  Tie Off 1 {TieOff(2)-E} = 0.2000
  Tie Off 2 {TieOff(3)-E} = 0.2000 spline_e2(0.138) = 0.000
  Tie Off 3 {TieOff(4)-E} = 0.2000 spline_e2(0.338) = 0.000
  Tie Off 4 (min-E) = 0.2000 spline_e2(0.538) = 0.000
  Tie Off n+1 (max+E) = 0.5000 (fit) spline_e2(6.964) = -7.8209 (fit)
  Tie Off n+2 {TieOff(n+1)+E} = 0.5000 spline_e2(7.464) = 10.7291 (fit)
  Tie Off n+3 {TieOff(n+2)+E} = 1.0000 spline_e2(8.464) = 0.000
  Tie Off n+4 {TieOff(n+3)+E} = 2.0000
  Tie Off n+5 {TieOff(n+4)+E} = 4.0000
+ Nodes
+ Advanced
Substrate = SI\_JAW
```

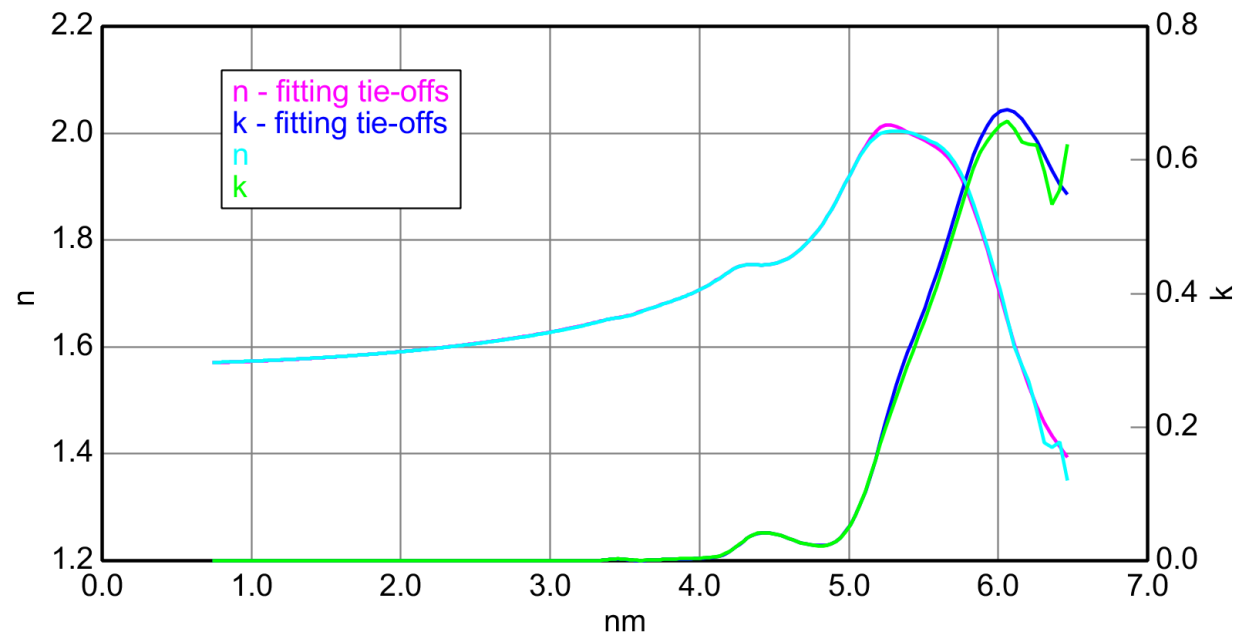


# ADVANCED: FITTING TIE OFF NODES (3)

- Results after fitting Tie Off Position.

Fit adjusts value from 0.5 to 4

Opt. Const. of B-Spline vs. nm



Spline Opt. Const. vs. eV

